

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
15 March 2001 (15.03.2001)

PCT

(10) International Publication Number  
WO 01/18082 A1

(51) International Patent Classification<sup>7</sup>: C08F 292/00, 2/44, C09D 4/00, E01F 9/015, C04B 35/622, G02B 1/10

(21) International Application Number: PCT/US00/22390

(22) International Filing Date: 7 August 2000 (07.08.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/389,554 3 September 1999 (03.09.1999) US

(71) Applicant: 3M INNOVATIVE PROPERTIES COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).

(72) Inventors: KANG, Soonkun; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). ETHEN, John, L.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). GILLIGAN, Gregory, E.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). GUSTAFSON, Christopher, J.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). KHIEU, Sithya, S.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). KUMAR, Kanta; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). RAMBOSEK, Thomas, W.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US). HUANG, Tzu-Li, J.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US).

(74) Agents: GWIN, Doreen, S., L. et al.; Office of Intellectual Property Counsel, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KR (utility model), KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: RETROREFLECTIVE ARTICLES INCLUDING A CURED CERAMER COMPOSITE COATING

(57) Abstract: A retroreflective article comprising a substrate and a cured ceramer coating provided on at least a portion of a surface of the substrate. The coated portion of the surface is retroreflective. The coating comprises a cured ceramer composite derived from ingredients comprising a free radically curable binder precursor and a plurality of surface treated, colloidal inorganic oxide components. The colloidal inorganic oxide components are surface treated with a surface treatment agent comprising a fluoro/silane component comprising a hydrolyzable silane moiety and a fluorinated moiety, and a crosslinkable silane component comprising a hydrolyzable silane moiety and a free radically crosslinkable moiety. The weight ratio of the crosslinkable silane component to the fluoro/silane component is from about 4:1 to 20:1, and the weight ratio of the free radically curable binder precursor to the colloidal inorganic oxide components is from about 1:10 to 8:10. A method of making a retroreflective article by coating a substrate with a ceramer composite coating is also described. A method of making a dew-resistant retroreflective article by coating a substrate with a ceramer composite coating and then surface treating the coating is also described.

## RETROREFLECTIVE ARTICLES INCLUDING A CURED CERAMER COMPOSITE COATING

5

### FIELD OF THE INVENTION

This invention relates to retroreflective articles such as traffic signs, pavement markers, reflectors, and the like. More particularly, the invention relates to retroreflective articles comprising a cured ceramer coating that provides the retroreflective article with abrasion, dew, and stain resistant characteristics.

### BACKGROUND OF THE INVENTION

Retroreflective structures have been incorporated into a wide variety of different articles in order to make such articles more visible under low light conditions. A typical retroreflective structure works by reflecting a substantial portion of incident light back towards the illumination source. As a result, the underlying article and/or imagery printed on the retroreflective structure are easily seen by an observer in or near the path of the reflected light. For example, one common application for retroreflective articles involves covering the surface of a traffic sign with a layer of retroreflective sheeting. At night, incident light from the headlights of an oncoming motor vehicle bathes the sign in light, which is then reflected back to the driver of the motor vehicle. Information on the sign is easily read as a result.

Of course, traffic signs are not the only application for retroreflective products. Other examples of articles that benefit from retroreflective characteristics include lens elements on pavement markers (particularly raised pavement markings), street name signs, pavement marking tapes, reflectors on bicycles, conspicuity markings for motor vehicles and trains, traffic cones, license plates, self adhesive stickers (such as the validation stickers that are affixed to license plates or windshields), commercial advertising signs, clothing, barrels, barricades, and the like.

Many varieties of retroreflective structures are known, including cube corner retroreflective sheeting, encapsulated lens retroreflective sheeting, and enclosed lens retroreflective sheeting. Cube corner retroreflective sheeting typically uses a multitude of cube corner elements to retroreflect incident light.

5 These structures generally comprise a planar sheet having a front surface which is intended to be seen by an observer and an array of cube corner elements provided on the backside of the planar sheet. The cube corner elements commonly are trihedral structures having a base proximal to the planar sheet and three mutually perpendicular lateral faces extending away from the sheet and meeting in a single  
10 corner, i.e., a cube corner.

In use, cube corner retroreflective sheeting is arranged so that the front surface faces the anticipated direction of the intended observers. A light ray incident upon the front surface enters a cube corner element, strikes one lateral face, is reflected to a second lateral face, and is again reflected from that second  
15 lateral face to pass out of the sheeting through the planar sheet back toward the direction of the source of the incident light. The incident light ray is reflected at the lateral faces due to total internal reflection and/or due to reflective coatings provided on the lateral faces. Illustrative examples of retroreflective cube corner elements are well known and have been described in U.S. Pat. Nos. 3,712,706  
20 (Stamm); 4,025,159 (McGrath), 4,202,600 (Burke et al.), 4,243,618 (Van Arnem), 4,349,598 (White), 4,576,850 (Martens), 4,588,258 (Hoopman), 4,775,219 (Appeldorn et al.), 4,895,428 (Nelson et al.), and 5,117,304 (Huang et al).

Typical enclosed lens structures are shown in Figs. 2 and 3 of Bailey et al., U.S. Pat. No. 4,664,966. There, a monolayer of glass microspheres are embedded  
25 within a polymeric binder having a surface intended to face an illumination source and a backside surface bearing a reflective coating. An adhesive may be used to adhere the structure to a suitable substrate.

A representative encapsulated lens structure is shown in McGrath, U.S. Pat. No. 4,025,159. There, a monolayer of glass microspheres is partially embedded in  
30 a base sheet. A cover sheet overlies the protruding surfaces of the spheres, forming a desirable air gap between the cover sheet and the spheres. A reflective coating

also is provided underneath embedded surfaces of the spheres. An adhesive may be used to adhere the structure to a suitable substrate.

On many retroreflective articles, the exposed front surface that faces the direction from which the article is to be viewed are formed from thermoplastic and/or thermosetting polymers. Examples of polymer materials used to form these structures include thermosetting or thermoplastic polycarbonate, poly(meth)acrylate (PMMA), ethylene/acrylic acid copolymers (EAA), polyvinyl chloride (PVC), polyurethane, polyester, polyamide, polyimide, phenoxy, phenolic resin, cellulosic resin, polystyrene, styrene copolymer, epoxy, and the like. Many of these thermoplastic and thermosetting polymers have excellent rigidity or flexibility (depending upon the desired application), dimensional stability, and impact resistance, but unfortunately have poor abrasion resistance. Consequently, retroreflective articles incorporating these materials are susceptible to scratches, abrasion, and similar damage.

To protect the surfaces of retroreflective articles from physical damage, a tough, abrasion resistant "hardcoat" layer may be coated onto one or more portions of the retroreflective surfaces of such articles. Many previously known hardcoat layers incorporate a binder matrix formed from radiation curable prepolymers such as (meth)acrylate functional monomers. Such hardcoat compositions have been described, for example, in Japanese patent publication JP02-260145, U.S. Pat. No. 5,541,049, and U.S. Pat. No. 5,176,943. One particularly excellent hardcoat composition is described in WO 96/36669 A1. This publication describes a hardcoat formed from a "ceramer" used, in one application, to protect the surfaces of retroreflective sheeting from abrasion. As defined in this publication, a ceramer is a hybrid, polymerizable composite (preferably transparent) having inorganic oxide components, e.g., silica, of nanometer dimensions dispersed in an organic binder matrix.

Many ceramers are derived from aqueous sols of inorganic colloids according to a process in which a radiation curable binder matrix precursor (e.g., one or more different radiation curable monomers, oligomers, and/or polymers) and other optional ingredients (such as surface treatment agents that interact with

the colloids of the sol, surfactants, antistatic agents, leveling agents, initiators, stabilizers, sensitizers, antioxidants, crosslinking agents, and crosslinking catalysts) are blended into the aqueous sol. The resultant composition is then dried to remove substantially all of the water. The drying step sometimes is referred to as “stripping”. An organic solvent may then be added, if desired, in amounts effective to provide the composition with viscosity characteristics suitable for coating the composition onto the desired substrate. After coating, the composition can be dried to remove the solvent and then exposed to a suitable source of energy to cure the radiation curable binder matrix precursor.

10           The manufacture of ceramer compositions can be challenging due to the extremely sensitive characteristics of the colloids of the aqueous sol. Particularly, adding other ingredients, such as binder matrix precursors or other additives, to such sols tends to destabilize the colloids, causing the colloids to flocculate, i.e., precipitate out of the sol. Flocculation is not conducive to forming high quality  
15           coatings. Firstly, flocculation results in local accumulations of particles. These accumulations are typically large enough to scatter light which results in a reduction of the optical clarity of the resultant coating. Additionally, the accumulation of particles may cause nibs and/or other defects in the resultant coatings. In short, flocculation of the colloids causes the resultant ceramer  
20           composition to be cloudy, or hazy, and thus, coatings formed from the ceramer composition would be cloudy or hazy as well. Conversely, if colloid flocculation were to be avoided, the resultant ceramer composition would remain optically clear, allowing coatings comprising the ceramer composition to be optically clear as well.

25           Thus, the manufacture of ceramer compositions may require special processing conditions that allow binder precursors and/or additives to be incorporated into a sol to avoid colloid flocculation. Unfortunately, the processing conditions developed to manufacture one ceramer composition are often not applicable to the manufacture of a ceramer comprising different components.

30           One method of manufacturing ceramers from aqueous, colloidal sols involves incorporating one or more N,N-disubstituted (meth)acrylamide



monomers, preferably N,N-dimethyl (meth)acrylamide (hereinafter referred to as "DMA"), into the binder matrix precursor. The presence of such a radiation curable material advantageously stabilizes the colloids, reducing the sensitivity of the colloids to the presence of other ingredients that might be added to the sol. By stabilizing the colloids, the presence of materials like DMA makes ceramers easier to manufacture. In addition to enhancing colloid stability, DMA provides other benefits. For example, ceramer compositions comprising DMA show better adhesion to polycarbonate and/or acrylic substrates and better processability as compared to otherwise identical ceramer compositions lacking DMA.

Unfortunately, the use of DMA also has some drawbacks. DMA is a "soft" monomer. That is, incorporating DMA into a ceramer tends to reduce the hardness and abrasion resistance of the ceramer. Additionally, DMA is also basic in nature. As a consequence, a ceramer composition incorporating DMA tends to attract and/or bind with acidic contaminants (coffee, soda pop, citrus juices, and the like) in the environment. Thus, ceramers incorporating DMA tend to be more vulnerable to staining.

Accordingly, it would be desirable to find an alternative approach for making ceramers without DMA, or with reduced amounts of DMA, such that (1) the colloids are sufficiently stable during ceramer manufacture, (2) the resultant ceramer is stain resistant, and/or (3) the resultant ceramer retains excellent hardness and abrasion resistance.

Fluorochemicals have low surface energy characteristics that would satisfy at least one of the aforementioned criteria. Specifically, because compositions with lower surface energy generally tend to show better stain resistance, the incorporation of a fluorochemical into a ceramer would be likely to enhance the ceramer's stain resistance. Unfortunately, the incorporation of fluorochemicals into a ceramer sol is extremely difficult. For example, because fluorochemicals are both hydrophobic (incompatible with water) and oleophobic (incompatible with nonaqueous organic substances), the incorporation of a fluorochemical into a ceramer sol often results in phase separation, e.g., colloid flocculation. This undesirable colloid flocculation can also result during the stripping process, i.e.,

when water is removed from the blended aqueous sol. Finally, not only can fluorochemicals be incompatible with ceramer sols, but such materials also tend to be "soft" in the sense that their presence in a coating adversely affects hardness and abrasion resistance.

5           Consequently, it would further be desirable to find a way to provide ceramers with good stain resistance using fluorochemicals or other stain resistant additives, while avoiding compatibility and hardness problems generally associated with fluorochemicals.

10           Light transmission to and from a retroreflective article, such as a traffic sign, can be impaired by water droplets on the surface of the traffic sign. A prominent form of precipitation that affects light transmission from traffic signs in particular is dew formation. Dew formation can be particularly problematic, because dew condenses onto signs predominantly at nighttime when the illuminating characteristics of retroreflective sheetings are most beneficial. Water  
15           droplets on traffic signs can significantly alter the path of incident and retroreflected light. This can make information on the sign much more difficult for passing motorists to read. Thus, the elimination or reduction of small beaded water droplets on the surface of a sign increases retroreflectance and readability by  
20           reducing the extent to which incident light is scattered or otherwise misdirected by water droplets on the surface of a sign.

          To hamper water droplet formation in moist conditions, coatings have been applied to signs to evenly spread the water over the coating. Water-spreading coatings typically include inorganic particles and may also include an organic binder. For example, U.S. Patent No. 4,576,864 to Krautter et al. discloses a  
25           water-spreading layer that is composed of colloidal particles of a metal or silicon oxide in which the water-spreading layer is adhered to a plastic substrate by an adhesive; U.S. Patent Nos. 4,478,909 to Taniguchi et al. and 5,134,021 to Hosono et al. discloses an anti-fogging coating having finely divided silica particles dispersed in a matrix of polyvinyl alcohol and an organosilicon alkoxy compound  
30           or hydrolysates thereof; U.S. Patent No. 4,409,285 to Swerdlow discloses a water-spreading coating comprising a mixture of large and small inorganic

particles comprising colloidal silica and/or alumina; U.S. Patent No. 4,481,254 to Fukushima et al. discloses an agricultural plastic film comprising an olefin resin and an amorphous hydrated aluminum silicate gel; U.K. Patent Application GB 2,249,041A to the Imperial College of Science, Technology and Medicine,  
5 discloses a modified hydrophobic plastic surface that has been subjected to an oxidation treatment and has a surface layer of colloidal hydrous metal oxide; Japanese Patent Kokai Publication No. HEI-3-50288 to Yamagishi et al. discloses an anti-fogging composition comprising a mixture of positively charged colloidal silica and alumina particles with a water-soluble aluminum salt and a nonionic  
10 surfactant; and U.S. Patent Nos. 5,073,404, 4,844,946 and 4,755,425 to Huang disclose a retroreflective sheeting that has a transparent coating comprising colloidal silica and a polymer selected from aliphatic polyurethanes, polyvinyl chloride copolymers and acrylic polymers.

Other water-spreading layers are known that do not require inorganic  
15 particles. For example, U.S. Patent No. 5,244,935 to Oshibe et al. discloses an ultraviolet curable anti-fogging composition agent comprising an acrylate or acrylamide block copolymer having a hydrophilic polymer segment and a hydrophobic polymer segment, a photopolymerizable compound, and a photoinitiator. The photopolymerizable compound has the formula  
20  $\text{CH}_2=\text{CHCOO}(\text{CH}_2\text{CRHO})_n\text{OCCR}=\text{CH}_2$ ; when  $n=0$ , anti-fogging properties were not exhibited and when  $n > 30$ , the resulting film was weak. U.S. Patent No. 5,316,825 to Nakai et al. discloses an anti-fogging film made of a transparent synthetic resin having micro concavities of at most  $10\mu\text{m}$  in depth and  $20\mu\text{m}$  in width.

25 Other workers have reported that anti-fogging properties can be imparted to glass or surface-activated plastic substrates by reacting the substrate surfaces with silanol or siloxane-functionalized polymers or fluoropolymers. European Patent Application No. 0 620 255 A1 to Luckey, Ltd. reports that anti-fogging coatings can be produced from mixtures of an epoxy functionalized organosiloxane, an  
30 amino functionalized organosiloxane, a hydrophilic methacrylate monomer, and a curing catalyst. U.S. Patent No. 5,270,080 to Mino et al. discloses anti-fogging



compositions composed of silanol-functionalized fluoropolymers. European Patent Application Nos. 0 491 251 A1 and 0 492 575 A2 to Matsushita Electric Industrial Co. report water-repelling, oil-repelling anti-fogging films that are made from siloxy-functionalized hydrophobic compounds. These references report that plastic  
5 surfaces can be made reactive to hydroxyl groups or hydrophilic by corona treating the surface.

Other techniques have resulted in heterogeneous surfaces. U.S. Patent No. 4,536,420 to Rickert discloses a water-wettable coating made from a mixture of colloidal acrylic resin and colloidal silica which, when cured, has a mud-cracked  
10 pattern, thus providing canals in the surface which tend to break up water droplets. Japanese Kokai Patent Publication 59-176,329 to Mitsubishi Monsanto Kasei Vinyl K.K. discloses transparent molded materials having patterned surfaces of hydrophilic and hydrophobic areas. In the examples, a patterned hydrophobic material is printed onto a hydrophilic film.

15 Some retroreflective articles are formed through embossing techniques in which information is embossed onto an article comprising retroreflective sheeting covering a surface of the article. For example, license plate blanks comprise a retroreflective sheet bonded to an underlying substrate. License plate numbers are formed in the blank using embossing techniques. Accordingly, if a ceramer  
20 coating is provided on retroreflective sheeting intended to be used in the manufacture of license plates, the ceramer must have sufficient stretchability (i.e., toughness or impact resistance) to be embossed without cracking. Stretchability of ceramer coatings is also important in other applications in which the retroreflective article is to be attached to an irregular surface or that will be subjected to flexing  
25 stresses during use. For example, a ceramer coating must have stretchability if the corresponding retroreflective article is to be attached to a traffic cone or to a curved sidewall of a motor vehicle. Additionally, retroreflective sheeting used in a lens of a raised pavement marker must be sufficiently stretchable to withstand flexing stresses when contacted by vehicle tires traversing, impacting, or hitting the  
30 pavement marker.

In addition, depending upon the intended use, it is highly desirable if the surface of a retroreflective article is receptive to printing with some kinds of inks and colorants to create graphic patterns on articles such as license plates, stickers, emblems, and the like. Yet, it is also desirable if these same articles are stain  
5 resistant at the same time so that undesired inks and colorants, e.g., graffiti, can be easily removed.

### SUMMARY OF THE INVENTION

The present invention relates to retroreflective articles comprising a hard,  
10 abrasion resistant, protective ceramer coating that is stain resistant, avoids the use of DMA if desired, and shows excellent bonding to a wide range of polymer surfaces. Additionally, the ceramer coatings of the present invention can be easily treated to provide excellent dew resistant and anti-fogging characteristics. Flexible  
15 embodiments of the invention may also be made so that the ceramer coating may be used in applications in which some stretchability is needed to avoid delamination, cracking, or other damage when the corresponding retroreflective article is subjected to flexural stresses.

The present invention is based, at least in part, upon the appreciation that a nonionic fluorochemical comprising both a fluorinated moiety and a hydrolyzable  
20 silane moiety (fluoro/silane component) can be successfully incorporated into a ceramer sol, without causing colloid flocculation to provide ceramer coatings with surprisingly long shelf lives and excellent stain resistant characteristics. Ceramers incorporating this kind of fluorochemical also retain a high level of abrasion resistance and hardness when coated onto a substrate to form a coated  
25 retroreflective article. In some embodiments, the ceramer coating forms a protective coating over an underlying, wholly functional retroreflective structure. In other embodiments, the ceramer coating may complete the optics of the retroreflective structure.

As another advantage, even though the ceramer coating is stain resistant,  
30 the ceramer coating is nonetheless very compatible with certain kinds of inks and colorants allowing information, data, or graphics to be easily incorporated into

retroreflective articles of the present invention. In one embodiment, such inks may be applied onto the substrate first and then overcoated with the ceramer coating. In this embodiment, virtually any kind of inks or colorants may be used, and the ceramer coating will protect the applied inks from being damaged to a much greater extent than if no ceramer coating were to be used.

However, the present invention is not limited to applications in which the ceramer coating merely overcoats underlying inks or colorants. Indeed, the surface of the cured ceramer coating is compatible to receiving certain kinds of inks and colorants so that information, data, or graphics can be applied directly onto the cured ceramer coating with a high level of permanency. Examples of compatible inks and colorants include 3M SCOTCHLITE™ 4800 Series Roll Coat Pastes, hot stamp foil as discussed in U.S. Pat. No. 5,393,590, colorants as disclosed in U.S. Pat. Nos. 5,706,133 and 4,440,590. Other inks or colorants, however, such as the inks used in SHARPIE brand permanent markers and Red and Black Magic Ink (available from Magic Ink Company, Japan) are not very compatible with the ceramer coating and can be easily removed from the coating using a suitable solvent such as toluene, xylene, ethyl acetate, isopropanol, methylethyl ketone, or the like. The ability of many common types of inks and paints to be easily removed from the coatings allows the pristine character of coated traffic signs or the like to be maintained over time, notwithstanding the tendency of some members of society to adorn signage with unwanted graffiti.

The present invention involved not just discovering the advantages offered by the fluoro/silane component, but also involved developing processing techniques that would allow the fluoro/silane component to be incorporated into the sol without causing flocculation of the colloids. Specifically, it has now been discovered that the flocculation of colloids within a ceramer is prevented if the fluoro/silane component is added to an admixture comprising a plurality of colloidal inorganic oxide components and a curable binder precursor (the "first admixture") in the presence of a surface treatment agent comprising both a hydrolyzable silane moiety and a polymerizable moiety (a "crosslinkable silane component"). For example, the fluoro/silane component and the crosslinkable

silane component may be combined to form a second admixture, which is then combined with the first admixture to form a third admixture, i.e., a ceramer composition of the present invention. Alternatively, the crosslinkable silane component may be combined with the first admixture individually, after which the  
5 fluoro/silane component may then be added. In contrast, if the fluoro/silane component is added to the sol individually in the absence of, e.g., before, the crosslinkable silane component, colloid flocculation tends to occur as soon as the crosslinkable silane component is added or during stripping.

Accordingly, in one aspect, the present invention relates to a retroreflective  
10 article comprising a substrate and a coating provided on at least a portion of a surface of the substrate. The coated portion of the surface is retroreflective. The coating comprises a cured ceramer composite derived from ingredients comprising a free radically curable binder precursor and a plurality of surface treated, colloidal inorganic oxide components. The colloidal inorganic oxide components are  
15 surface treated with a surface treatment agent comprising a fluoro/silane component comprising a hydrolyzable silane moiety and a fluorinated moiety, and a crosslinkable silane component comprising a hydrolyzable silane moiety and a free radically crosslinkable moiety. The weight ratio of the crosslinkable silane component to the fluoro/silane component is from about 4:1 to about 20:1, and the  
20 weight ratio of the free radically curable binder precursor to the colloidal inorganic oxide components is from about 1:10 to about 8:10.

The substrate itself may include a wholly functional retroreflective structure to provide the desired retroreflective characteristics having improved e.g., abrasion, dew, and stain resistance, or retroreflectivity may result only after the ceramer  
25 coating is applied onto the substrate to complete the retroreflective optics. In embodiments in which the substrate includes a retroreflective structure, any kind of retroreflective structure can be used as desired. For example, the retroreflective structure may be in the form of cube corner retroreflective sheeting, encapsulated lens retroreflective sheeting, embedded lens retroreflective sheeting, or the like.

30 In another aspect, the present invention relates to a method of coating a retroreflective substrate with a ceramer composite coating. According to the

method, an uncured ceramer composition is provided. The composition includes a free radically curable binder precursor and a plurality of surface treated, colloidal inorganic oxide components. The colloidal inorganic oxide components are surface treated with a surface treatment agent comprising a fluoro/silane component comprising a hydrolyzable silane moiety and a fluorinated moiety and a crosslinkable silane component comprising a hydrolyzable silane moiety and a free radically crosslinkable moiety. The weight ratio of the crosslinkable silane component to the fluoro/silane component is from about 4:1 to about 20:1, and the weight ratio of the free radically curable binder precursor to the colloidal inorganic oxide components is from about 1:10 to about 8:10. The uncured ceramer composition is coated onto a retroreflective substrate and then cured. The cured ceramer composite coating on the substrate is thus formed.

In preferred embodiments, the uncured, ceramer composition is provided by combining the fluoro/silane component with a first admixture comprising a plurality of colloidal inorganic oxide components and a curable binder precursor wherein the curable binder precursor comprises a polymerizable moiety copolymerizable with the polymerizable moiety of the crosslinkable silane component. This combining step occurs in the presence of the crosslinkable silane component. The combining step further occurs under conditions such that at least a portion of the colloidal inorganic oxide components are surface treated by at least one of the fluoro/silane component and the crosslinkable silane component.

#### DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS

The embodiments of the present invention described below are not intended to be exhaustive or to limit the invention to the precise forms disclosed in the following detailed description. Rather the embodiments are chosen and described so that others skilled in the art may appreciate and understand the principles and practices of the present invention.

One embodiment of a preferred ceramer composition of the present invention is prepared from ingredients comprising a compound comprising at least



one hydrolyzable silane moiety and at least one fluorinated moiety ("fluoro/silane component"), a compound comprising at least one hydrolyzable silane moiety and at least one polymerizable moiety other than a silane moiety ("crosslinkable silane component"), a curable binder precursor comprising at least one polymerizable  
5 moiety that is co-polymerizable with the polymerizable moiety of the crosslinkable silane component, and a plurality of colloidal inorganic oxide components.

Preferably, the fluoro/silane component and the crosslinkable silane component are nonionic in embodiments of the invention in which the colloidal inorganic oxide components are provided as a sol. The use of nonionic materials minimizes the  
10 tendency of the colloids to flocculate when the ingredients are combined.

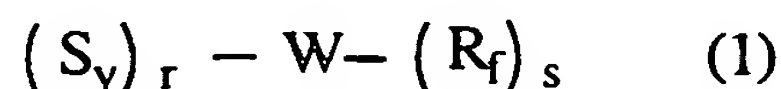
Preferably, the polymerizable moieties of the crosslinkable silane component and the curable binder precursor are free radically curable.

A wide range of these materials may be incorporated into the ceramer composition with beneficial results. For example, ceramer compositions may  
15 comprise from about 4 to about 20 parts by weight of the crosslinkable silane component per approximately 1 part by weight of the fluoro/silane component, 100 parts by weight of the binder precursor per 10 to about 100 parts by weight of the colloids, and about 1 to about 20 parts by weight of the crosslinkable silane and fluoro/silane components per about 100 parts by weight of the colloids. In  
20 embodiments of the invention in which the colloids are provided as a sol, e.g., an aqueous sol, the sol preferably includes about 2 to about 50, preferably about 20 to about 50 percent by weight of the colloids.

Suitable fluoro/silane components include those having at least one hydrolyzable or hydrolyzed group and a fluorochemical group. Additionally, suitable  
25 fluoro/silane components can be polymers, oligomers, or monomers and typically comprise one or more fluorochemical moieties that contain a fluorinated carbon chain having from about 3 to about 20 carbon atoms, more preferably from about 6 to about 14 carbon atoms. These fluorochemical moiety can contain straight chain, branched chain, or cyclic fluorinated alkylene groups or any combination thereof.  
30 The fluorochemical moiety are preferably free of polymerizable olefinic unsaturation but can optionally contain catenary (i.e., in-chain) heteroatoms such as

oxygen, divalent or hexavalent sulfur, or nitrogen. Perfluorinated groups are preferred, but hydrogen or halogen atoms can also be present as substituents, provided that no more than one atom of either is present for every two carbon atoms.

5 A class of useful fluoro/silane components can be represented by the following general formula:



10 In this formula,  $S_y$  represents a hydrolyzable silane moiety,  $R_f$  represents a fluorinated moiety,  $r$  is at least 1, preferably 1-4, more preferably 1;  $s$  is at least 1, preferably 1-4, more preferably 1; and  $W$  is a linking group having  $r + s$  valent sites.

Preferably, each  $R_f$  moiety of Formula (1) independently is a monovalent or divalent, nonionic, perfluoro moiety that may be linear, branched, or cyclic. If  $R_f$  is 15 divalent, both valent sites of such an  $R_f$  moiety preferably are linked to  $W$  directly as illustrated by the following formula:

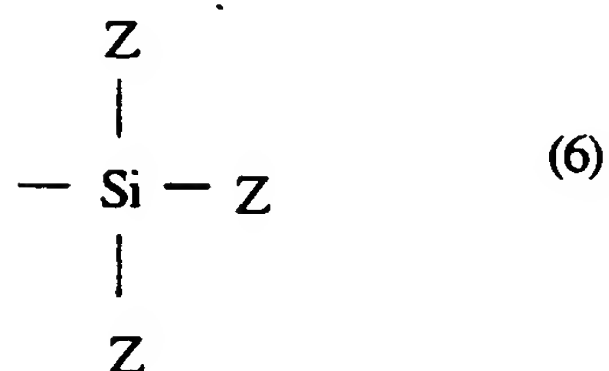
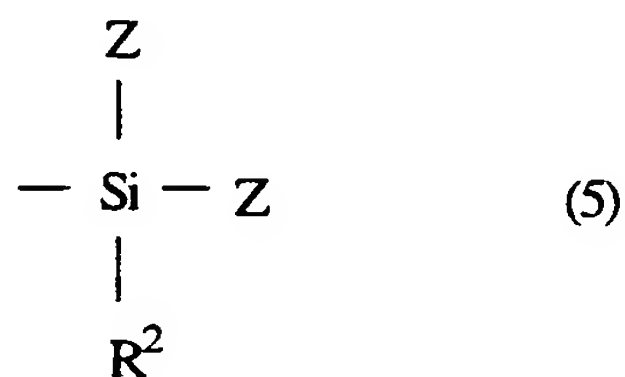
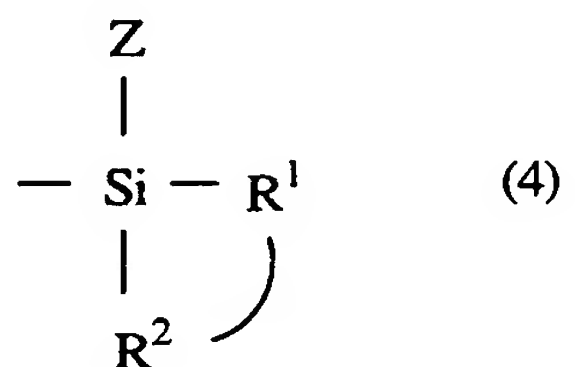
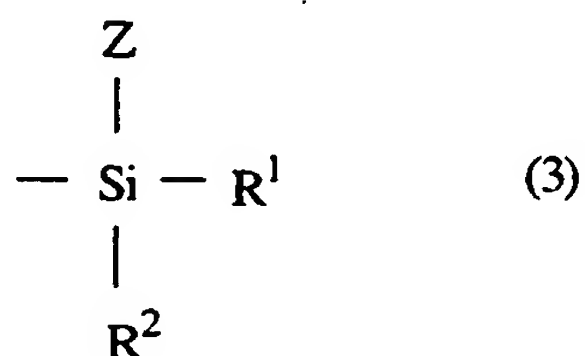


20 From Formula (2), it can be seen that each divalent  $R_f$  moiety bonds to two valent sites on  $W$ . Accordingly,  $s$  of Formula (1) is incremented by 2 for each such divalent moiety.

Any of a wide variety of nonionic perfluoro moieties are suitable for use as  $R_f$ . Representative examples of suitable perfluoro moieties include perfluoroalkyl, perfluoroalkylene, perfluoroalkoxy, and/or oxyperfluoroalkylene moieties having 25 about 1 to about 20, preferably about 3 to about 20 carbon atoms. Perfluorinated aliphatic moieties are the most preferred perfluoro moieties.

Preferably, each  $S_y$  moiety of Formula (1) independently is a monovalent or divalent, nonionic hydrolyzable silane moiety that may be linear, branched, or cyclic. In the practice of the present invention, the term "hydrolyzable silane

moiety" with respect to  $S_y$  refers to a hydrolyzable silane moiety comprising at least one Si atom bonded to at least one halogen atom and/or at least one oxygen atom in which the oxygen atom preferably is a constituent of an acyloxy group and/or an alkoxy group. Thus, representative examples of preferred hydrolyzable silane moieties suitable for use as  $S_y$  may be represented by the following formulae:

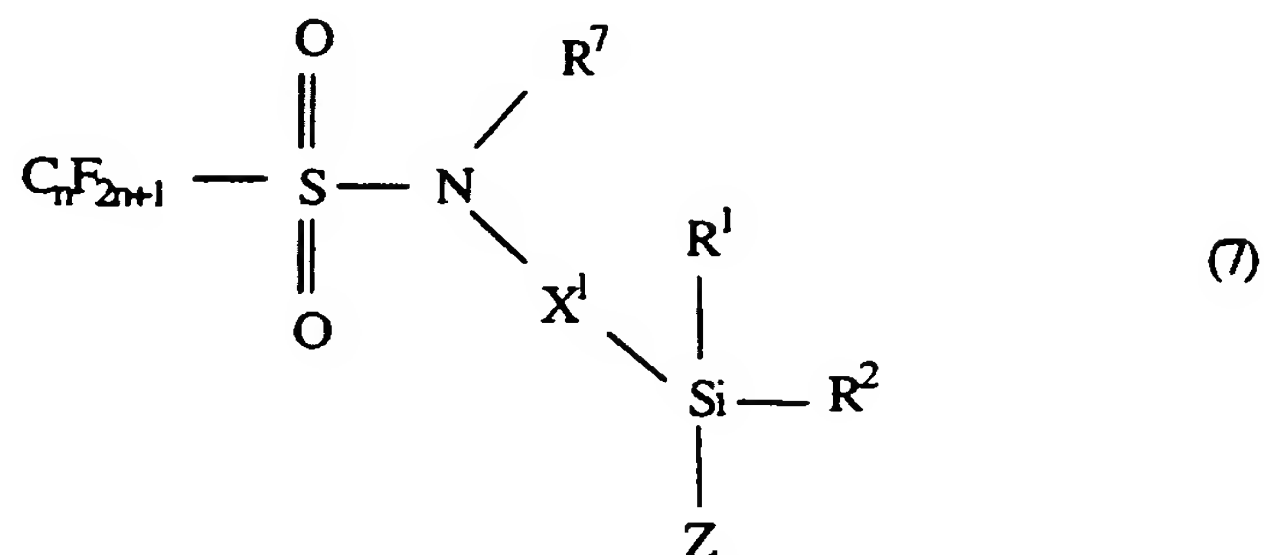


Generally,  $R^1$  and  $R^2$  independently may be any nonionic, monovalent substituent other than hydrogen. Additionally,  $R^1$  and  $R^2$  may be linear, branched, or cyclic. In embodiments according to Formula (4),  $R^1$  and  $R^2$  may be co-members of a ring structure. Thus, representative examples of moieties suitable for use as  $R^1$  and  $R^2$  include any alkyl, aryl, alkaryl, acyl, alkenyl, arylene and/or heterocyclic moieties, combinations thereof, or the like. Any of such moieties, if cyclic, may include a plurality of rings if desired. For example, aryl moieties may be aryl-aryl structures. In preferred embodiments, each of  $R^1$  and  $R^2$  is independently an alkyl group of 1 to 4 carbon atoms or an acyl group such as acetyl ( $\text{CH}_3\text{CO}-$ ) or substituted or unsubstituted benzoyl ( $\text{C}_6\text{H}_5\text{CO}-$ ). Most preferably each of  $R^1$  and  $R^2$  independently is a lower alkyl group of 1 to 4 carbon atoms, more preferably  $\text{CH}_3-$ .

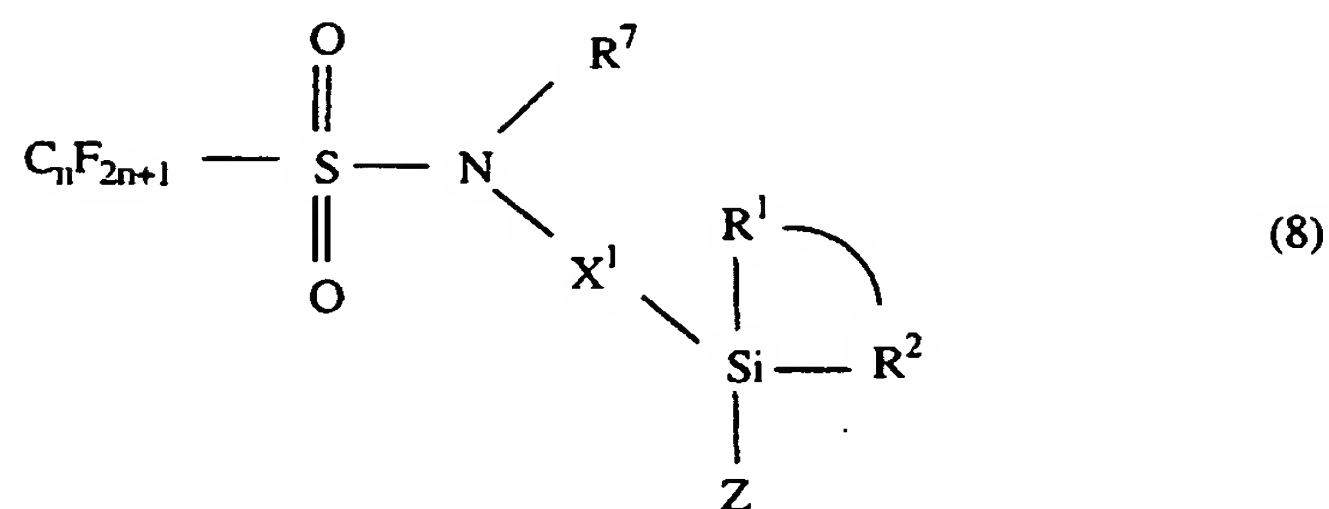
$Z$  is preferably a halogen atom or  $-\text{OR}^3$ . In embodiments in which  $-\text{OR}^3$  is an alkoxy group,  $R^3$  preferably is an alkyl group of 1 to 8, preferably 1 to 4, more preferably 1 to 2 carbon atoms. In embodiments in which  $-\text{OR}^3$  is an acyloxy group,  $R^3$  preferably has the formula  $-\text{C}(\text{O})\text{R}^4$ , wherein  $R^4$  generally may be any nonionic, monovalent moiety other than hydrogen. Representative examples of moieties suitable as  $R^4$  include any alkyl, aryl, or alkaryl moieties, and combinations thereof. Any of such  $R^4$  moieties, if cyclic, may include a plurality of rings if desired. In preferred embodiments,  $R^4$  is  $\text{CH}_3-$ .

Generally,  $W$  of Formula (1) may be any nonionic moiety capable of linking the at least one  $S_y$  moiety and the at least one  $R_f$  moiety together. Preferably,  $W$  comprises a backbone of 4 to 30 atoms and may comprise one or more moieties such as an alkylene moiety, an ether moiety, an ester moiety, a urethane moiety, a carbonate moiety, an imide moiety, an amide moiety, an aryl moiety, an alkaryl moiety, an alkoxyaryl moiety, sulfonyl, nitrogen, oxygen, combinations of these, and the like.

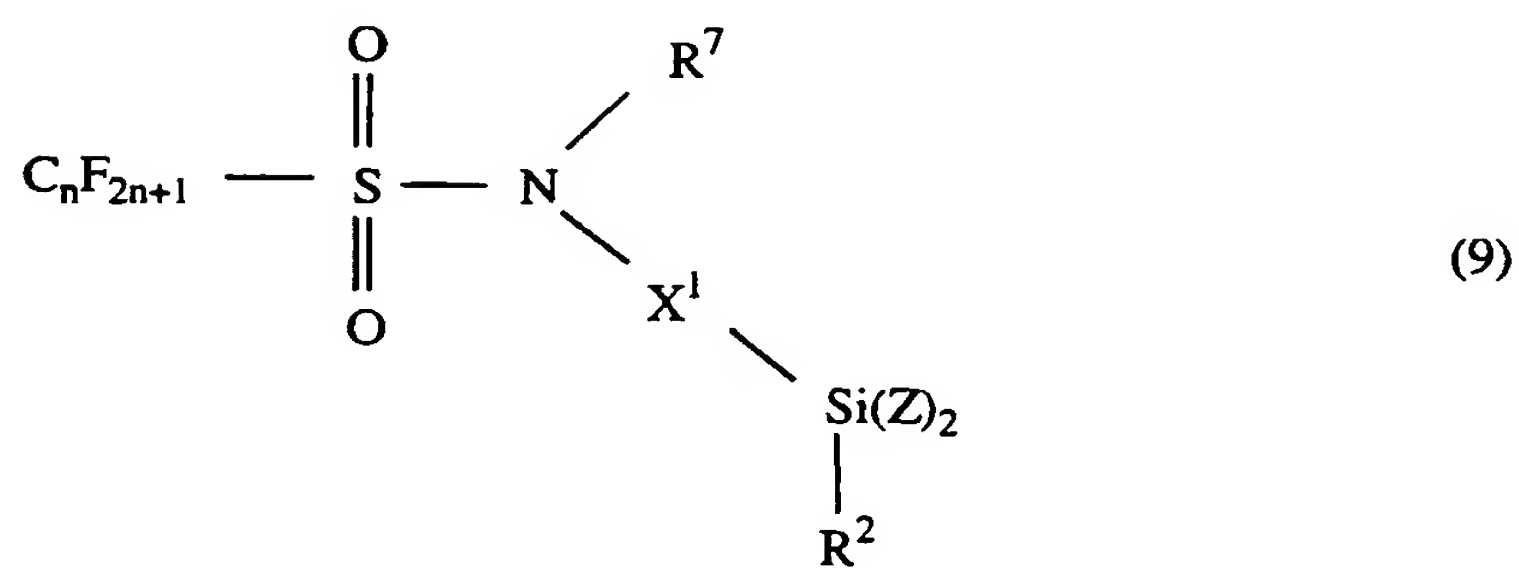
A preferred class of compounds according to Formula (1) is represented by any of the formulae



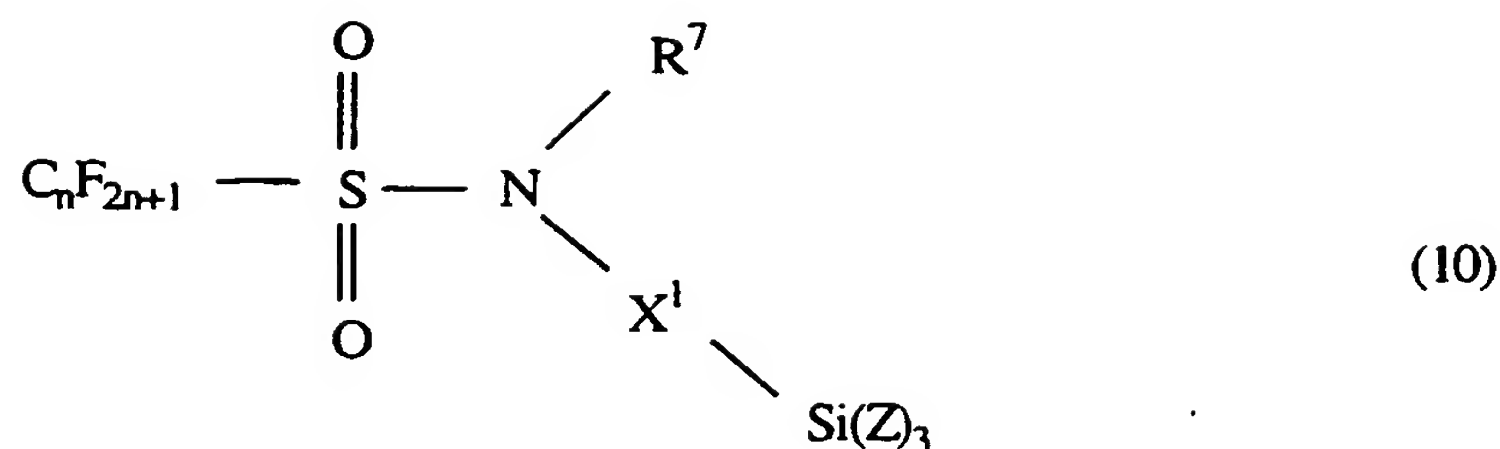
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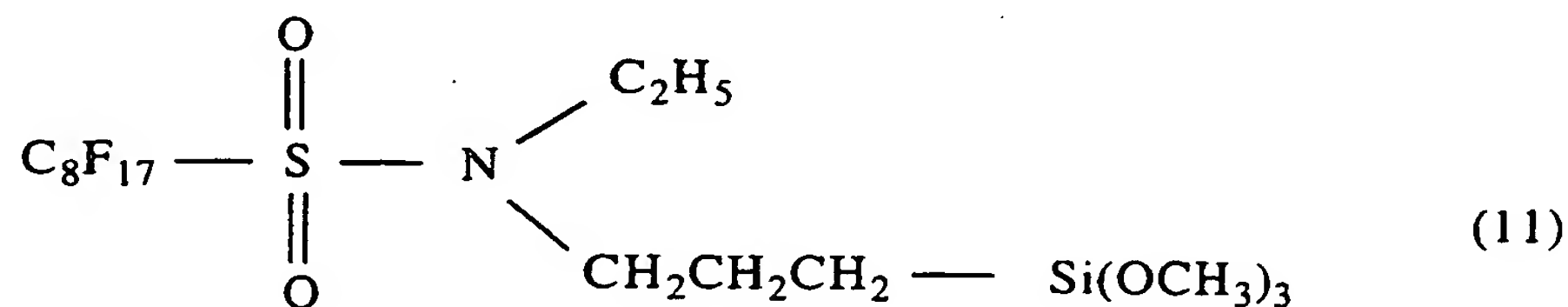


wherein  $n$  is 1 to 20, preferably 3 to 20;  $\text{R}^7$  is a monovalent moiety, preferably an aryl, alkyl, or alkyaryl moiety, more preferably an alkyl moiety of 1 to 4 carbon atoms;  $\text{X}^1$  is an alkylene group of 1 to 10 carbon atoms, and  $\text{Z}$ ,  $\text{R}^1$ ,  $\text{R}^2$  and  $\text{R}^3$  are as defined above.

Representative specific examples of preferred compounds according to Formula (1) include the following compounds:

- 10  $\text{C}_5\text{F}_{11}\text{CH}_2\text{OCH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_2\text{CH}_3)_3$
- $\text{C}_7\text{F}_{15}\text{CH}_2\text{OCH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_2\text{CH}_3)_3$
- $\text{C}_7\text{F}_{15}\text{CH}_2\text{OCH}_2\text{CH}_2\text{CH}_2\text{SiCl}_3$
- $\text{C}_8\text{F}_{17}\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{CH}_2\text{SiCl}_3$
- $\text{C}_{18}\text{F}_{37}\text{CH}_2\text{OCH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{SiCl}_3$
- $\text{CF}_3\text{CF}(\text{CF}_2\text{Cl})\text{CF}_2\text{CF}_2\text{SO}_2\text{N}(\text{CH}_3)\text{CH}_2\text{CH}_2\text{CH}_2\text{SiCl}_3$
- 15  $\text{C}_8\text{F}_{17}\text{SO}_2\text{N}(\text{CH}_2\text{CH}_3)\text{CH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_3)_3$
- $\text{C}_8\text{F}_{17}\text{SO}_2\text{N}(\text{CH}_3)\text{CH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_3)_3$
- $\text{C}_8\text{F}_{17}\text{SO}_2\text{N}(\text{CH}_2\text{CH}_3)\text{CH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_3)_{\text{av}1.9}[(\text{OCH}_2\text{CH}_2)_{\text{av}6.1}\text{OCH}_3]_{\text{av}1.1}$
- $\text{C}_7\text{F}_{15}\text{CH}_2\text{O}(\text{CH}_2)_3\text{Si}(\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OH})_3$
- $\text{C}_7\text{F}_{15}\text{CH}_2\text{CH}_2\text{Si}(\text{CH}_3)\text{Cl}_2$
- 20  $\text{C}_8\text{F}_{17}\text{CH}_2\text{CH}_2\text{SiCl}_3$
- $\text{Cl}_3\text{SiCH}_2\text{CH}_2\text{CH}_2\text{OCH}_2(\text{OCF}_2\text{CF}_2)_8\text{CH}_2\text{OCH}_2\text{CH}_2\text{CH}_2\text{SiCl}_3$
- $\text{CF}_3\text{O}(\text{CF}_2\text{CF}(\text{CF}_3)\text{OOCF}_2\text{C}(=\text{O})\text{NHCH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OC}_2\text{H}_5)_3$
- $\text{CF}_3\text{O}(\text{C}_3\text{F}_6\text{O})_4(\text{CF}_2\text{O})_3\text{CF}_2\text{CH}_2\text{OC}(=\text{O})\text{NHCH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_3)_3$
- $\text{Cl}_3\text{SiCH}_2\text{CH}_2\text{OCH}_2(\text{CF}_2\text{CF}_2\text{O})_8(\text{CF}_2\text{O})_4\text{CF}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{SiCl}_3$
- 25  $\text{C}_8\text{F}_{17}\text{CONHC}_6\text{H}_4\text{Si}(\text{OCH}_3)_3$
- $\text{C}_8\text{F}_{17}\text{SO}_2\text{N}(\text{CH}_2\text{CH}_3)\text{CH}_2\text{CH}_2\text{CH}_2\text{Si}(\text{OCH}_3)_{\text{av}1}(\text{OCH}_2\text{CH}_2(\text{OCH}_2\text{CH}_2)_2\text{OCH}_3)_{\text{av}2}$

A particularly preferred embodiment of a fluoro/silane component according to Formula (1), for example, is represented by the formula



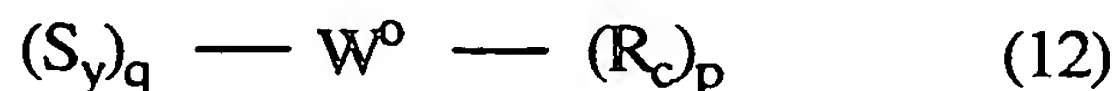
5 The compound according to Formula (11) is commercially available from Minnesota Mining and Manufacturing Company, St. Paul, MN under the trade designation FC405. Methods of making such a compound and fluoro/silane compounds in general are described in U.S. Pat. No. 3,787,467 to Lucking et al.

Useful fluoro/silane components can be prepared, e.g., by reacting (a) at least  
 10 one fluorochemical compound having at least one reactive functional group with (b) a functionalized silane having from one to about three hydrolyzable groups and at least one alkyl, aryl, or alkoxyalkyl group that is substituted by at least one functional group that is capable of reacting with the functional group of the fluorochemical compound(s). Such methods are disclosed in U.S. Patent No. 5,274,159  
 15 (Pellerite et al.).

Crosslinkable silane components suitable for use in the ceramer composition of the present invention are commercially available from numerous sources. Generally, suitable crosslinkable silane components comprise at least one hydrolyzable silane moiety and at least one polymerizable moiety other than a  
 20 silane moiety. The polymerizable moiety preferably contains either (meth)acrylate, allyl, styryl, amino, or epoxy functionalities, while the hydrolyzable silane group is usually an alkoxy silyl moiety (generally methoxy or ethoxy) which serves as a binding site to hydroxy-functional inorganic substrates via displacement of the alkoxy groups. Additional information concerning crosslinkable silane components  
 25 may be found in the book by E.P. Pleuddeman ("Silane coupling Agents", Plenum Press: New York, 1982, pp. 20-23 and 97) as well as in technical reports by S. Sterman and J.G. Marsden entitled "Theory of Mechanisms of Silane Coupling Agents in Glass Reinforced and Filled Thermoplastic and Thermosetting Resin

Systems", Union Carbide Corporation, New York, and "A Guide to Dow Corning Silane Coupling Agents", Dow Corning Corporation, 1985, pp. 2-13.

Crosslinkable silane components suitable for use in the ceramer compositions of the present invention may be polymers, oligomers, or monomers  
5 and may preferably be represented by the formula



In Formula (12),  $S_y$  represents a hydrolyzable silane moiety as defined above with respect to Formulae (1) and (2);  $R_c$  is a moiety comprising  
10 polymerizable functionality, preferably free radically polymerizable functionality;  $q$  is at least 1, preferably 1 to 4, more preferably 1;  $p$  is at least 1, preferably 1 to 4, more preferably 1; and  $W^o$  is a linking group having a valency of  $q + p$ .  
Compounds according to Formula (12) and methods of making such compounds are described in U.S. Pat. No. 5,314,980.

15 Generally,  $W^o$  of Formula (12) may be any nonionic moiety capable of linking the at least one  $S_y$  moiety and the at least one  $R_c$  moiety together. Preferably,  $W^o$  comprises a backbone of 4 to 30 atoms and may comprise one or more moieties such as an alkylene moiety, an ether moiety, an ester moiety, a urethane moiety, a carbonate moiety, an imide moiety, an amide moiety, an aryl  
20 moiety, an alkaryl moiety, an alkoxyaryl moiety, arylsulfonyl moiety, nitrogen, oxygen, combinations of these, and the like.

Embodiments of compounds according to Formula (12) in the form of silane functional (meth)acrylates include, for example, 3-(methacryloxy)propyl trimethoxysilane, 3-acryloxypropyl trimethoxysilane, 3-  
25 (methacryloxy)propyltriethoxysilane, 3-(methacryloxy)propylmethyldimethoxysilane, 3-(acryloxypropyl)methyldimethoxysilane, 3-(methacryloxy)propyldimethylethoxysilane, 3-(methacryloxy)methyltriethoxysilane, 3-(methacryloxy)methyltrimethoxysilane,  
30 3-(methacryloxy)propyldimethylethoxysilane, 3-methacryloxypropenyl trimethoxysilane, vinyl dimethylethoxysilane, vinylmethyldiacetoxysilane,

vinylmethyldiethoxysilane, vinyltriacetoxysilane, vinyltriethoxysilane, vinyltriisopropoxysilane, vinyltrimethoxysilane, vinyltriphenoxysilane, vinyltri-t-butoxysilane, vinyltris-isobutoxysilane, vinyltriisopropenoxysilane, vinyltris(2-methoxyethoxy)silane, and mixtures thereof. Of these,  
5 3-(methacryloxy)propyl trimethoxysilane, 3-acryloxypropyl trimethoxysilane, 3-(methacryloxy)propylmethyldimethoxysilane and 3-(methacryloxy)propyldimethylethoxysilane are preferred. Furthermore, embodiments of crosslinkable silane components according to Formula (18) in the form of silane functional polyolefins can be produced from commercially available  
10 starting materials by any of several methods.

Examples of crosslinkable silane components are described in E.P. Pleuddeman, "Silane Coupling Agents", Plenum Press: New York, 1982, pp. 20-23 and 97; U.S. Patent Nos. 4,491,508 and 4,455,205 to Olsen et al.; U.S. Patent Nos. 4,478,876 and 4,486,504 to Chung; and U.S. Patent No. 5,258,225 to Katsamberis.

15 In the practice of the present invention, free radically polymerizable functionality refers to functional groups directly or indirectly pendant from a monomer, oligomer, or polymer backbone (as the case may be) that participate in crosslinking and/or polymerization reactions upon exposure to a suitable source of radiant and/or thermal curing energy. Such functionality generally includes not  
20 only groups that crosslink via a cationic mechanism upon radiation exposure but also groups that crosslink via a free radical mechanism. Representative examples of radiation polymerizable moieties suitable in the practice of the present invention include epoxy groups, (meth)acrylate groups, olefinic carbon-carbon double bonds, allylether groups, styrene groups, (meth)acrylamide groups, combinations of these,  
25 and the like. Representative examples of curing energy include electromagnetic energy (e.g., infrared energy, microwave energy, visible light, ultraviolet light, and the like), accelerated particles (e.g., electron beam energy), and/or energy from electrical discharges (e.g., coronas, plasmas, glow discharge, or silent discharge).

The colloidal inorganic oxide components of the present invention include  
30 particles, powders, and oxides in solution. Colloidal inorganic oxide components of the present invention are desirably substantially spherical in shape, relatively uniform

in size (have a substantially monodisperse size distribution or a polymodal distribution obtained by blending two or more substantially monodisperse distributions). It is further preferred that the colloidal inorganic oxide components be and remain substantially non-aggregated (substantially discrete), as colloidal aggregation can result in precipitation, gelation, or a dramatic increase in sol viscosity and can reduce both adhesion to substrate and optical clarity. Finally, it is preferable that the colloidal inorganic oxide components be characterized by an average particle diameter of about 1 nanometer to about 200 nanometers, preferably from about 1 nanometer to about 100 nanometers, more preferably from about 2 nanometers to about 75 nanometers. These size ranges facilitate ease of dispersion of the particles into coatable ceramer compositions and provide ceramer coatings that are smoothly surfaced and optically clear. Average particle size of the colloids can be measured using transmission electron microscopy to count the number of particles of a given diameter.

A wide range of colloidal inorganic oxide components could be used in the present invention. Representative examples include colloidal titania, colloidal alumina, colloidal zirconia, colloidal vanadia, colloidal chromia, colloidal iron oxide, colloidal antimony oxide, colloidal tin oxide, and mixtures thereof, can also be utilized. The colloidal inorganic oxide components can comprise essentially a single oxide such as silica, a combination of oxides such as silica and aluminum oxide, or a core of an oxide of one type (or a core of a material other than a metal oxide) on which is deposited an oxide of another type.

In one preferred embodiment, for example, the inorganic oxide components of the present invention advantageously may comprise a major amount of a first plurality of primary inorganic oxide components, e.g., silica, and a minor amount of a second plurality of one or more other oxides (i.e., "additive oxide(s)"), preferably a sodium aluminate. As used herein, "major amount" means that the inorganic oxide components of a ceramer composition include a sufficient amount of the primary oxide particles (preferably at least about 80% by weight, preferably at least about 95% by weight, more preferably at least about 98% by weight) such that the composite properties of the resultant ceramer are primarily due to such



primary oxide particles. "Minor amount" means that the inorganic oxide components include a sufficient, additive amount of another kind of oxide particle effective to beneficially enhance at least one property of the resultant uncured and/or cured ceramer composition.

5           It has now been discovered that it is much easier to homogeneously disperse inorganic oxide components in uncured ceramer compositions and/or within sols from which the ceramers are to be derived when the inorganic oxide components include both primary inorganic oxide components and at least one kind of additive inorganic oxide component. In particular, cured ceramer coatings  
10 incorporating silica colloids and an aluminum oxide component, as just one example, have shown better abrasion resistance and improved processability than otherwise identical ceramer coatings having no additive oxide.

          The optimum amount of an additive oxide to be incorporated into a ceramer composition will depend upon a number of factors including the type(s) of additive  
15 oxide(s) being used, the desired end use of the ceramer composition, and the like. Generally, if too little of an additive oxide is used, little benefit will be observed. On the other hand, if too much of an additive oxide is used, then the resultant cured ceramer coating may be more hazy than desired. It is possible that the level of abrasion resistance may also be unduly reduced. As one suggested guideline to be  
20 used for preferred embodiments in which the corresponding cured ceramer coating is desired to be optically clear, abrasion resistant, and weather resistant, the ceramer composition may include about 100 parts by weight of silica and about 0.01 to about 5, preferably about 1 to about 2 parts by weight of an oxide other than silica, preferably an aluminum oxide.

25           The colloidal inorganic oxide components are desirably provided in the form of a sol (e.g., colloidal dispersions of inorganic oxide components in liquid media), especially sols of amorphous silica. Unlike other forms in which the colloidal inorganic oxide components may be supplied (e.g., fumed silica which comprises irregular aggregates of colloidal particles), colloids of such sols tend to be  
30 substantially monodisperse in size and shape and thus enable the preparation of ceramer compositions exhibiting good optical clarity, smoothness and,

surprisingly, good adhesion to substrates. Preferred sols generally contain from about 2 to about 50 weight percent, preferably from about 25 to about 45 weight percent, of colloidal inorganic oxide components.

Sols useful in the practice of the present invention may be prepared by methods well known in the art. For example, silica hydrosols comprising from about 2 to about 50 percent by weight of silica in water are generally useful and can be prepared, e.g., by partially neutralizing an aqueous solution of an alkali metal silicate with base to a pH of about 8 or about 9 (such that the resulting sodium content of the solution is less than about 1 percent by weight based on sodium oxide). Sols useful in the practice of the present invention may also be prepared in a variety of forms, including hydrosols (where water serves as the liquid medium), organosols (where organic liquids are used as the liquid medium), and mixed sols (where the liquid medium comprises both water and an organic liquid). See, e.g., the descriptions of the techniques and forms given in U.S. Patent Nos. 2,801,185 (Iler) and 4,522,958 (Das et al.), as well as those given by R. K. Iler in The Chemistry of Silica, John Wiley & Sons, New York (1979).

Due to their low cost, and due to environmental considerations, silica hydrosols, i.e., aqueous silica sols, are preferred for use in preparing the ceramer compositions of the invention. The surface chemistry of hydrosols makes them particularly well suited for use in the ceramer compositions of the present invention. More particularly, when the colloidal inorganic oxide components are dispersed in water, the colloidal inorganic oxide components are stabilized to some degree due to common electrical charges that develop on the surface of each component. The common electrical charges tend to promote dispersion rather than agglomeration and/or flocculation, because the similarly charged particles repel one another.

Hydrosols are commercially available in both acidic and basic forms and with a variety of particle sizes and concentrations under such trade names as "LUDOX" (E.I. DuPont de Nemours and Co., Inc. Wilmington, Del.), "NYACOL" (Nyacol Co., Ashland, Mass.), and "NALCO" (Nalco Chemical Co., Oak Brook, Ill.). Additional examples of suitable colloidal silicas are described in U.S. Patent No.

5,126,394. Although either acidic or basic sols are suitable for use in the ceramer compositions of the present invention, it is desirable to match the pH of the sol with that of the curable binder precursor in order to minimize the tendency of the colloids of the sol to flocculate when the sol and the curable binder precursor are combined. For example, if the sol is acidic, the curable binder precursor also preferably is acidic. On the other hand, if the sol is basic, the curable binder precursor also preferably is basic.

In one preferred ceramer embodiment of the present invention to be derived from an aqueous silica sol, it may be desirable to add a minor amount of a water soluble compound such as sodium aluminate ( $\text{NaAlO}_2$ ) to the sol. Addition of a compound such as sodium aluminate provides a sol, and corresponding ceramer composition, that include both silica colloid and aluminum oxide components. As described above in connection with inorganic oxide components suitable for use in the practice of the present invention, the use of an additive oxide such as aluminum oxide beneficially makes it easier to obtain homogeneous ceramer compositions, provides improved abrasion resistance, improved adhesion in wet or dry environments and provides improved weatherability (believed to be attributable to enhanced hydrolytic stability of ceramer composites including silica colloids and aluminum oxide components).

The sols of the present invention may include counterions in order to counter the surface charge of the colloids. Depending upon pH and the type of colloids being used, the surface charges on the colloids can be negative or positive. Thus, either cations or anions are used as counter ions. Examples of cations suitable for use as counter ions for negatively charged colloids include  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Li}^+$ , a quaternary ammonium cation such as  $\text{NR}'^{4+}$ , wherein each  $\text{R}'$  may be any monovalent moiety, but is preferably H or lower alkyl such as  $\text{CH}_3$ , combinations of these, and the like. Examples of counter anions suitable for use as counter ions for positively charged colloids include  $\text{HSO}_3^-$  and  $\text{R-COO}^-$ , where R represents an alkyl carboxylate.

As one option, suitable curable binder precursors can be selected from any curable thermoplastic or thermosetting polymer comprising moieties that are capable of crosslinking with the  $\text{R}_c$  (refer to Formula (12)) moiety of the

crosslinkable silane component. Examples of such polymers include polyurethane, polycarbonate, polyester, polyamide, polyimide, phenoxy, phenolic resin, cellulosic resin, polystyrene, styrene copolymer, poly(meth)acrylate, epoxy, silicone resin, combination of these, and the like. As another option, the curable binder precursor  
5 can be in the form of prepolymeric materials which can be copolymerized or homopolymerized in situ after the ceramer composition has been coated onto a substrate.

As one example of an approach using prepolymeric materials, the curable binder precursor may comprise one or more free radically curable monomers,  
10 oligomers, polymers, or combinations of these having pendant free radically curable functionality which allows the materials to polymerize and/or crosslink using a source of curing energy such as electron beam radiation, ultraviolet radiation, visible light, and the like. Preferred free radically curable monomers, oligomers, and/or polymers each include one or more free-radically polymerizable,  
15 carbon-carbon double bonds such that the average functionality of such materials is greater than one free-radically carbon-carbon double bond per molecule. Materials having such moieties are capable of copolymerization and/or crosslinking with each other via such carbon-carbon double bond functionality.

Generally, the term "monomer" as used herein refers to a single, one unit  
20 molecule capable of combination with itself or other monomers to form oligomers or polymers. The term "oligomer" refers to a compound that is a combination of 2 to 20 monomers. The term "polymer" refers to a compound that is a combination of 21 or more monomers.

Generally, ceramer compositions including oligomeric and/or polymeric  
25 free radically curable binder precursors tend to have higher viscosities than ceramer compositions including only monomeric free radically curable binder precursors. Accordingly, in applications involving techniques such as spin coating or the like in which it is desirable for the ceramer composition to have a low viscosity, e.g., a viscosity of less than about 200 centipoise measured at about 25° C using a  
30 Brookfield viscometer with any suitable spindle operated at a spindle speed in the range from about 20 to about 50 rpm, it is preferred that at least about 50%, by

weight, more preferably substantially all, of any prepolymeric binder precursors are monomeric free radically curable binder precursors.

Free radically curable monomers suitable in the practice of the present invention are preferably selected from combinations of mono, di, tri, tetra, penta,  
5 and hexafunctional free radically curable monomers. Various amounts of the mono, di, tri, tetra, penta, and hexafunctional free radically curable monomers may be incorporated into the present invention, depending upon the desired properties of the final ceramer coating.

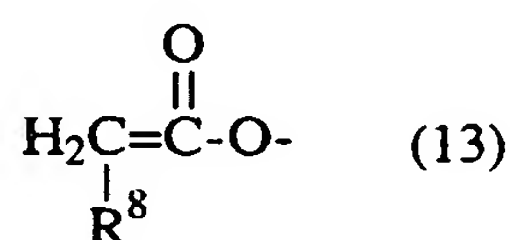
For example, in order to provide ceramer coatings with higher levels of  
10 abrasion and impact resistance, it is desirable for the ceramer composition to include one or more multifunctional free radically curable monomers, preferably at least both di- and tri-functional free radically curable monomers, such that the free radically curable monomers incorporated into the ceramer composition have an average free radically curable functionality per molecule of greater than 1.  
15 Preferred ceramer compositions of the present invention may include about 1 to about 35 parts by weight of monofunctional free radically curable monomers, about 0 to about 75 parts by weight of difunctional free radically curable monomers, about 1 to about 75 parts by weight of trifunctional free radically curable monomers, about 0 to about 75 parts by weight of tetrafunctional free radically  
20 curable monomers, about 0 to about 75 parts by weight of pentafunctional free radically curable monomers, and about 0 to about 75 parts by weight of hexafunctional free radically curable monomers, subject to the proviso that the free radically curable monomers have an average functionality of greater than 1, preferably about 1.1 to about 4, more preferably about 1.5 to about 3.

25 One representative class of monofunctional free radically curable monomers suitable in the practice of the present invention includes compounds in which a carbon-carbon double bond is directly or indirectly linked to an aromatic ring. Examples of such compounds include styrene, alkylated styrene, alkoxy styrene, free radically curable naphthalene, alkylated vinyl naphthalene, alkoxy  
30 vinyl naphthalene, combinations of these, and the like. Another representative class of monofunctional, free radically curable monomers includes compounds in



which a carbon-carbon double bond is attached to an cycloaliphatic, heterocyclic, and/or aliphatic moiety such as 5-vinyl-2-norbornene, 4-vinyl pyridine, 2-vinyl pyridine, 1-vinyl-2-pyrrolidinone, 1-vinyl caprolactam, 1-vinylimidazole, N-vinyl formamide, and the like.

- 5 Another representative class of such monofunctional free radically curable monomers include (meth)acrylate-functional monomers that incorporate moieties of the formula:



10

wherein  $\text{R}^8$  is a monovalent moiety, such as hydrogen, halogen, methyl, or the like. Representative examples of such monomers include linear, branched, or cycloaliphatic esters of (meth)acrylic acid containing from 1 to 20, preferably 1-8, carbon atoms, such as methyl (meth)acrylate, n-butyl (meth)acrylate, t-butyl (meth)acrylate, ethyl (meth)acrylate, isopropyl (meth)acrylate, and 2-ethylhexyl (meth)acrylate; vinyl esters of alkanolic acids wherein the alkyl moiety of the alkanolic acids contain 2 to 20, preferably 2 to 4, carbon atoms and may be linear, branched, or cyclic; isobornyl (meth)acrylate; vinyl acetate; allyl (meth)acrylate, and the like.

20

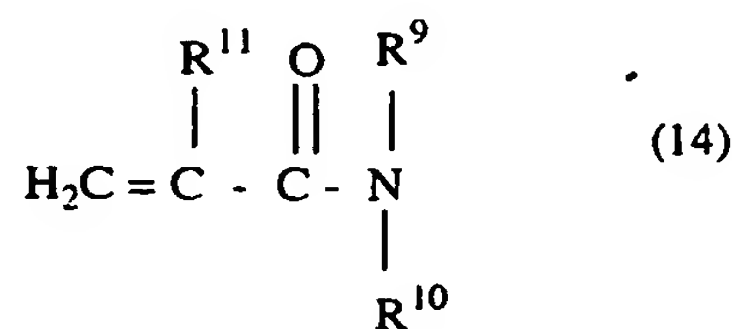
Such (meth)acrylate-functional monomers may also include other kinds of reactive functionality such as hydroxyl functionality, nitrile functionality, epoxy functionality, carboxylic functionality, thiol functionality, amine functionality, sulfonyl functionality, combinations of these, and the like. Representative examples of such free radically curable compounds include glycidyl (meth)acrylate, (meth)acrylonitrile,  $\beta$ -cyanoethyl-(meth)acrylate, 2-cyanoethoxyethyl (meth)acrylate, p-cyanostyrene, p-(cyanomethyl)styrene, an ester of an  $\alpha, \beta$ -unsaturated carboxylic acid with a diol, e.g., 2-hydroxyethyl (meth)acrylate, or 2-hydroxypropyl (meth)acrylate; 1,3-dihydroxypropyl-2-(meth)acrylate; 2,3-dihydroxypropyl-1-(meth)acrylate; an adduct of an  $\alpha, \beta$ -unsaturated carboxylic

25

acid with caprolactone; an alkanol vinyl ether such as 2-hydroxyethyl vinyl ether; 4-vinylbenzyl alcohol; allyl alcohol; p-methylol styrene, (meth)acryloyloxyethyl trimethyl ammonium chloride, (meth)acrylamidopropyl trimethylammonium chloride, vinylbenzyl trimethylammonium chloride, 2-hydroxy-3-allyloxypropyl trimethylammonium chloride, (meth)acryloxypropyl dimethylbenzylammonium chloride, dimethylaminoethyl (meth)acrylate, vinylbenzyl trimethylammonium chloride, N-(3-sulfopropyl)-N-(meth)acryloxyethyl-N,N-dimethylammonium betaine, 2-[(meth)acryloxy]ethyl trimethylammonium methosulfate, N-(3-sulfopropyl)-N-(meth)acrylamidopropyl-N, N-dimethylammonium betaine, N,N-dimethylamino (meth)acrylate, (meth)acryloyloxyethyl acid phosphate, (meth)acrylamidopropyl sodium sulfonate, sodium styrene sulfonate, styrene sulfonic acid, (meth)acrylic acid, maleic acid, fumaric acid, maleic anhydride, vinyl sulfonic acid, 2-(meth)acrylamide-2-methyl-1-propanesulfonic acid, maleic anhydride, mixtures thereof, and the like.

Another class of monofunctional, free radically curable monomers that may optionally be used in the practice of the present invention, but is in no way required, includes one or more N,N-disubstituted (meth)acrylamides. Use of an N,N-disubstituted (meth)acrylamide provides numerous advantages. For example, the use of this kind of monomer provides ceramer coatings which show improved adhesion to polycarbonate substrates. Further, use of this kind of monomer also provides ceramer coatings with improved weatherability and toughness. Preferably, the N,N-disubstituted (meth)acrylamide has a molecular weight in the range from about 99 to about 500, preferably from about 99 to about 200, in order to minimize the tendency, if any, of the colloidal inorganic oxide components to flocculate and precipitate out of the ceramer composition.

The N,N-disubstituted (meth)acrylamide monomers generally have the formula:

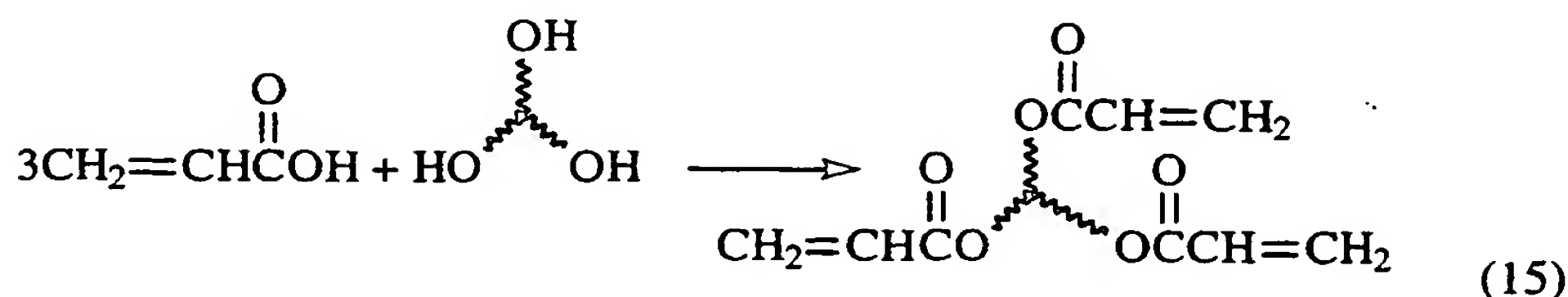


wherein  $R^9$  and  $R^{10}$  are each independently hydrogen, a  $(C_1-C_8)$ alkyl group (linear, branched, or cyclic) optionally having hydroxy, halide, carbonyl, and amido functionalities, a  $(C_1-C_8)$ alkylene group optionally having carbonyl and amido functionalities, a  $(C_1-C_4)$ alkoxymethyl group, a  $(C_4-C_{18})$ aryl or heteroaryl group, a  $(C_1-C_3)$ alk $(C_4-C_{18})$ aryl group, or a  $(C_4-C_{18})$ heteroaryl group; with the proviso that only one of  $R^9$  and  $R^{10}$  is hydrogen; and  $R^{11}$  is hydrogen, a halogen, or a methyl group. Preferably,  $R^9$  is a  $(C_1-C_4)$ alkyl group;  $R^{10}$  is a  $(C_1-C_4)$ alkyl group; and  $R^{11}$  is hydrogen, or a methyl group.  $R^9$  and  $R^{10}$  can be the same or different. More preferably, each of  $R^9$  and  $R^{10}$  is  $CH_3$ , and  $R^{11}$  is hydrogen.

Examples of such suitable (meth)acrylamides are N-(3-bromopropionamidomethyl) acrylamide, N-tert-butylacrylamide, N,N-dimethylacrylamide, N,N-diethylacrylamide, N-(5,5-dimethylhexyl)acrylamide, N-(1,1-dimethyl-3-oxobutyl)acrylamide, N-(hydroxymethyl)acrylamide, N-(isobutoxymethyl)acrylamide, N-isopropylacrylamide, N-methylacrylamide, N-ethylacrylamide, N-methyl-N-ethylacrylamide, N-(fluoren-2-yl)acrylamide, N-(2-fluorenyl)-2-methylacrylamide, 2,3-bis(2-furyl)acrylamide, N,N'-methylene-bis acrylamide. A particularly preferred (meth)acrylamide is N,N-dimethyl (meth)acrylamide.

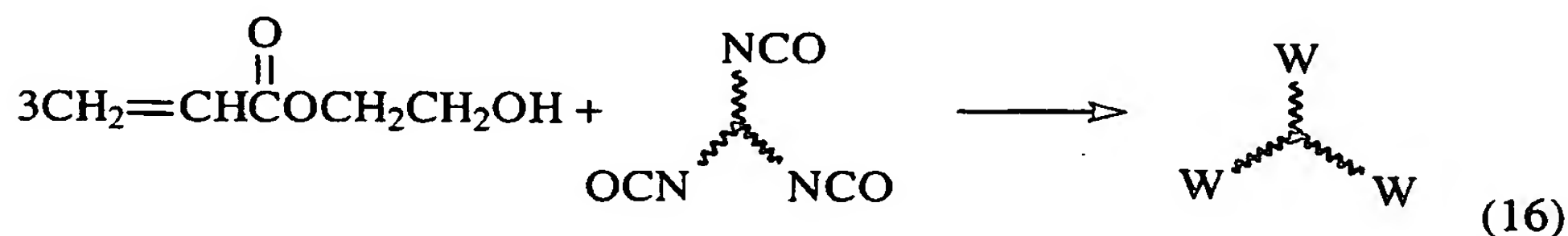
Other examples of free radically curable monomers include alkenes such as ethene, 1-propene, 1-butene, 2-butene (cis or trans), compounds including an allyloxy moiety, and the like.

Multifunctional (meth)acrylate compounds suitable for use in the curable binder precursor are commercially available from a number of different suppliers. Alternatively, such compounds can be prepared using a variety of well known reaction schemes. For example, according to one approach, a (meth)acrylic acid or acyl halide or the like is reacted with a polyol having at least two, preferably about 2 to about 6, hydroxyl groups. This approach can be represented by the following schematic reaction scheme which, for purposes of illustration, shows the reaction between acrylic acid and a triol:



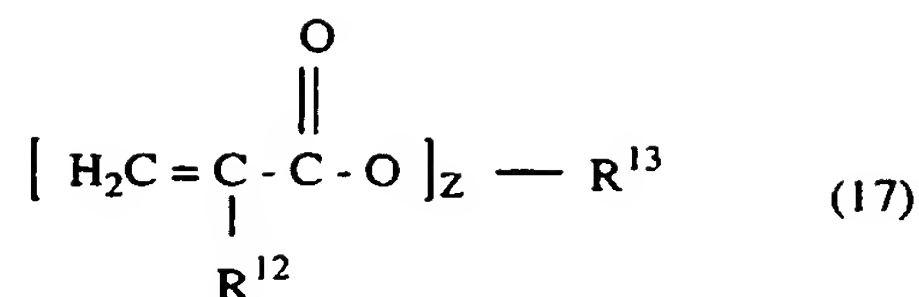
This reaction scheme as illustrated provides a trifunctional acrylate. To obtain di, tetra, penta, or hexa functional compounds, corresponding diol, tetrols, pentols, and hexols could be used in place of the triol, respectively.

According to another approach, a hydroxy or amine functional (meth)acrylate compound or the like is reacted with a polyisocyanate, or isocyanurate, or the like having about 2 to about 6 NCO groups, on average, or the equivalent. This approach can be represented by the following schematic reaction scheme which, for purposes of illustration, shows the reaction between hydroxyethyl acrylate and a triisocyanate:



wherein each W is  $-\text{OCH}_2\text{CH}_2\text{NH}\overset{\text{O}}{\parallel}\text{CCH}=\text{CH}_2$ . This reaction scheme as illustrated provides a trifunctional (meth)acrylate. To obtain di, tetra, penta, or hexa functional compounds, corresponding multifunctional isocyanates could be used in place of the triisocyanate, respectively.

A preferred class of multifunctional (meth)acryl functional compounds includes one or more multifunctional, ethylenically unsaturated esters of (meth)acrylic acid and may be represented by the following formula:



wherein R<sup>12</sup> is hydrogen, halogen or a (C<sub>1</sub>-C<sub>4</sub>)alkyl group; R<sup>13</sup> is a polyvalent organic group having m valencies and can be cyclic, branched, or linear, aliphatic, aromatic, or heterocyclic, having carbon, hydrogen, nitrogen, nonperoxidic oxygen, sulfur, or phosphorus atoms; and z is an integer designating the number of acrylic or methacrylic groups in the ester and has a value of about 2 to about 7. Preferably, R<sup>12</sup> is hydrogen, methyl, or ethyl, R<sup>13</sup> has a molecular weight of about 14 to about 100, and m has a value of about 2 to about 6. More preferably, z has a value of about 2 to about 5, most preferably about 3 to about 4. Where a mixture of multifunctional acrylates and/or methacrylates are used, z preferably has an average value of about 1.05 to about 3.

Specific examples of suitable multifunctional ethylenically unsaturated esters of (meth)acrylic acid are the polyacrylic acid or polymethacrylic acid esters of polyhydric alcohols including, for example, the diacrylic acid and dimethylacrylic acid ester of aliphatic diols such as ethyleneglycol, triethyleneglycol, 2,2-dimethyl-1,3-propanediol, 1,3-cyclopentanediol, 1-ethoxy-2,3-propanediol, 2-methyl-2,4-pentanediol, 1,4-cyclohexanediol, 1,6-hexamethylenediol, 1,2-cyclohexanediol, 1,6-cyclohexanedimethanol; the triacrylic acid and trimethacrylic acid esters of aliphatic triols such as glycerin, 1,2,3-propanetrimethanol, 1,2,4-butanetriol, 1,2,5-pentanetriol, 1,3,6-hexanetriol, and 1,5,10-decanetriol; the triacrylic acid and trimethacrylic acid esters of tris(hydroxyethyl) isocyanurate; the tetraacrylic and tetramethacrylic acid esters of aliphatic tetraols, such as 1,2,3,4-butanetetraol, 1,1,2,2-tetramethylolethane, 1,1,3,3-tetramethylolpropane, and pentaerythritol triacrylate; the pentaacrylic acid and pentamethacrylic acid esters of aliphatic pentols such as adonitol; the hexaacrylic acid and hexamethacrylic acid esters of hexanols such as sorbitol and dipentaerythritol; the diacrylic acid and dimethacrylic acid esters of aromatic diols



such as resorcinol, pyrocatechol, bisphenol A, and bis(2-hydroxyethyl) phthalate; the trimethacrylic acid ester of aromatic triols such as pyrogallol, phloroglucinol, and 2-phenyl-2,2-dimethylethanol; and the hexaacrylic acid and hexamethacrylic acid esters of dihydroxy ethyl hydantoin; and mixtures thereof.

5           In addition to the fluoro/silane component, the crosslinkable silane component, the curable binder precursor, and the colloidal inorganic oxide components, the ceramer composition may further include a solvent and other optional additives. For example, if desired, the ceramer composition may include a solvent to reduce the viscosity of the ceramer composition in order to enhance the  
10 ceramer coating characteristics. The appropriate viscosity level depends upon various factors such as the coating thickness, application technique, and the type of substrate material onto which the ceramer composition is applied. In general, the viscosity of the ceramer composition at 25°C is about 1 to about 200 centipoise, preferably about 3 to about 75 centipoise, more preferably about 4 to about 50  
15 centipoise, and most preferably about 5 to about 20 centipoise when measured using a Brookfield viscometer with a No. 2 cv spindle at a spindle speed of about 20 rpm. In general, sufficient solvent is used such that the solids content of the ceramer composition is about 5 to about 99%, preferably about 10 to about 70%, more preferably about 15 to about 50%, and most preferably about 30% by weight  
20 solids.

          The solvent is selected to be compatible with the other components included in the ceramer composition. As used in this context, "compatible" means that there is minimal phase separation between the solvent and the other components. Additionally, the solvent should be selected such that the solvent  
25 does not adversely affect the curing properties of the ceramer composition or attack the material of the substrate. Furthermore, the solvent should be selected such that it has an appropriate drying rate. That is, the solvent should not dry too slowly, which would slow down the process of making a coated substrate. The solvent also should not dry too quickly, which could cause defects such as pin holes or  
30 craters in the resultant ceramer coating. The solvent can be an organic solvent, water, or combinations thereof. Representative examples of suitable solvents

include lower alcohols such as ethanol, methanol, isopropyl alcohol, and n-butanol; ketones such as methyl ethyl ketone and methyl isobutyl ketone; glycols; glycol ethers; combinations thereof, and the like. Most preferably, the solvent is isopropanol. Using the procedure described below for making a ceramer  
5 composition, the solvent may also include a small amount, e.g. about 2% by weight, of water.

The ceramer compositions of the present invention also may include a leveling agent to improve the flow or wetting of the ceramer composition onto the substrate. If the ceramer composition does not properly wet the substrate, this can  
10 lead to visual imperfections (e.g., pin holes and/or ridges) in the ceramer coating. Examples of leveling agents include, but are not limited to, alkylene oxide terminated polysiloxanes such as that available under the trade designation "DOW 57" (a mixture of dimethyl-, methyl-, and (polyethylene oxide acetate-capped) siloxane) from Dow Corning, Midland, Michigan, and fluorochemical surfactants  
15 such as those available under the trade designations "FC430" and "FC431" from Minnesota Mining and Manufacturing Company Co., St. Paul, MN. The ceramer composition can include an amount of a leveling agent effective to impart the desired result. Preferably, the leveling agent is present in an amount up to about 3% by weight, and more preferably about 0.5 to about 1%, based on the total  
20 weight of the ceramer composition solids. It should be understood that combinations of different leveling agents can be used if desired.

During the manufacture of an abrasion resistant, ceramer coating of the type including a free radically curable binder precursor, the coated ceramer composition preferably is exposed to an energy source, e.g., radiation, that initiates the curing  
25 process of the ceramer coating. This curing process typically occurs via a free radical mechanism, which can require the use of a free radical initiator (simply referred to herein as an initiator, e.g., a photoinitiator or a thermal initiator) depending upon the energy source used. If the energy source is an electron beam, the electron beam generates free radicals and no initiator is typically required. If  
30 the energy source is ultraviolet light, or visible light, an initiator is often required.

When the initiator is exposed to one of these energy sources, the initiator generates free radicals, which then initiates the polymerization and crosslinking.

Examples of suitable free radical initiators that generate a free radical source when exposed to thermal energy include, but are not limited to, peroxides such as benzoyl peroxide, azo compounds, benzophenones, and quinones. Examples of photoinitiators that generate a free radical source when exposed to visible light radiation include, but are not limited to, camphorquinones/alkyl amino benzoate mixtures. Examples of photoinitiators that generate a free radical source when exposed to ultraviolet light include, but are not limited to, organic peroxides, azo compounds, quinones, benzophenones, nitroso compounds, acryl halides, hydrozones, mercapto compounds, pyrylium compounds, triacrylimidazoles, bisimidazoles, chloroalkyltriazines, benzoin, benzoin methyl ether, benzoin ethyl ether, benzoin isopropyl ether, benzoin isobutyl ethers and methylbenzoin, diketones such as benzil and diacetyl, phenones such as acetophenone, 2,2,2-tribromo-1-phenylethanone, 2,2-diethoxyacetophenone, 2,2-dimethoxy-2-phenylacetophenone, 2,2,2,-tribromo-1(2-nitrophenyl)ethanone, benzophenone, 4,4-bis(dimethylamino)benzophenone, and acyl phosphates. Examples of commercially available ultraviolet photoinitiators include those available under the trade designations "IRGACURE™ 184" (1-hydroxycyclohexyl phenyl ketone), "IRGACURE™ 361" and "DAROCUR™ 1173" (2-hydroxy-2-methyl-1-phenylpropan-1-one) from Ciba-Geigy. Typically, if used, an amount of an initiator is included in the ceramer composition to effect the desired level and rate of cure. Preferably, the initiator is used in an amount of about 0.1-10%, and more preferably about 2-4% by weight, based on the total weight of the ceramer composition without solvent. It should be understood that combinations of different initiators can be used if desired.

In addition to the initiator, the ceramer composition of the present invention can include a photosensitizer. The photosensitizer aids in the formation of free radicals that initiate curing of the curable binder precursors, especially in an air atmosphere. Suitable photosensitizers include, but are not limited to, aromatic ketones and tertiary amines. Suitable aromatic ketones include, but are not limited

to, benzophenone, acetophenone, benzil, benzaldehyde, and o-chlorobenzaldehyde, xanthone, thioxanthone, 9,10-anthraquinone, and many other aromatic ketones. Suitable tertiary amines include, but are not limited to, methyldiethanolamine, ethyldiethanolamine, triethanolamine, phenylmethyl-ethanolamine, 5 dimethylaminoethylbenzoate, and the like. Typically, if used, an amount of photosensitizer is included in the ceramer compositions to effect the desired level and rate of cure. Preferably, the amount of photosensitizer used in the ceramer compositions of the present invention is about 0.01 to about 10%, more preferably about 0.05 to about 5%, and most preferably about 0.25 to about 3% by weight, 10 based on the total weight of the ceramer composition without solvent. It should be understood that combinations of different photosensitizers can be used if desired.

Polymeric materials are known to degrade by a variety of mechanisms. Common additives that can offset this are known as stabilizers, absorbers, antioxidants, and the like. The ceramer compositions of the present invention can 15 include one or more of the following: ultraviolet stabilizer, ultraviolet absorber, ozone stabilizer, and thermal stabilizer/antioxidant.

An ultraviolet stabilizer and/or ultraviolet absorber improves weatherability and reduces the "yellowing" of the abrasion resistant, ceramer coating with time. An example of an ultraviolet stabilizer includes that available under the trade 20 designation "TINUVIN™ 292" (bis(1,2,2,6,6-pentamethyl-4-piperidiny)sebacate) and an example of an ultraviolet absorber includes that available under the trade designation "TINUVIN™ 1130" (hydroxyphenyl benzotriazole), both of which are available from Ciba-Geigy. The ceramer composition can include an amount of either an ultraviolet stabilizer and/or an ultraviolet absorber to impart the desired 25 result. Preferably, the ultraviolet stabilizer or absorber is present in an amount up to about 10% by weight, and more preferably about 1 to about 5%, based on the total weight of the ceramer composition without solvent. It should be understood that combinations of different ultraviolet stabilizers and absorbers can be used if desired.

30 An ozone stabilizer protects against degradation resulting from reaction with ozone. Examples of ozone stabilizers include, but are not limited to, hindered

amines such as that available under the trade designation "IRGONOX™ 1010" available from Ciba-Geigy and phenoltriazine commercially available from Aldrich. The ceramer composition can include an amount of an ozone stabilizer to impart the desired result. Preferably, the ozone stabilizer is present in an amount  
5 up to about 1% by weight, more preferably about 0.1 to about 1.0%, and most preferably about 0.3 to about 0.5%, based on the total weight of the ceramer composition without solvent. It should be understood that combinations of different ozone stabilizers can be used if desired.

A thermal stabilizer/antioxidant reduces the amount of yellowing as a result  
10 of weathering. Examples of such materials include, but are not limited to, low melting hindered phenols and triesters. Specific examples include 2,6-di-tert-butyl-4-methylphenol commercially available under the trade designation "ULTRANOX™ 226" antioxidant from Borg Warner Chemicals, Inc., Parkersburg, NY; octadecyl 3,5-di-tert-butyl-4-hydroxyhydroxycinnamate  
15 commercially available under the trade designations "ISONOX™ 132" antioxidant (Schenectady Chemicals, Inc., Schenectady, NY) or "VANOX™ 1320" antioxidant (Vanderbilt Co., Inc., Norwalk, CT). The ceramer composition can include an amount of the thermal stabilizer/antioxidant to impart the desired result. Preferably, the thermal stabilizer/antioxidant is present in an amount up to about  
20 3% by weight, and more preferably about 0.5 to about 1%, based on the total weight of the ceramer composition without solvent. It should be understood that combinations of different thermal stabilizers/antioxidants can be used if desired.

According to one approach, a ceramer composition of the present invention is prepared by combining ingredients comprising a fluoro/silane component, a  
25 crosslinkable silane component, a curable binder precursor, and a plurality of colloidal inorganic oxide components. More specifically, the fluoro/silane component is combined with a first admixture comprising a plurality of colloidal inorganic oxide components and a curable binder precursor in the presence of a crosslinkable silane component. The fluoro/silane component may be premixed  
30 with the crosslinkable silane component to form a second admixture, which second admixture is then combined with the first admixture to form a third admixture, i.e.,



the ceramer composition. Alternatively, the crosslinkable silane component may be premixed with the first admixture to provide a third admixture premix, and the third admixture premix combined with the fluoro/silane component to form the ceramer composition.

5           The fluoro/silane component, first admixture and crosslinkable silane component are combined under conditions such that at least a portion of the colloidal inorganic oxide components is surface treated by at least one of the fluoro/silane component and the crosslinkable silane component. That is, once so combined, the hydrolyzable silane moieties of the fluoro/silane component and the  
10 crosslinkable silane component are allowed to react with and thereby functionalize (i.e., surface treat) the colloidal inorganic oxide components with pendant  $R_c$  and  $R_f$  functionality. By incorporating the fluoro/silane component into the ceramer composition in this manner, the resultant ceramer composition remains optically clear and, therefore, is useful for forming optically clear ceramer coatings.

15           The ceramer composition is then stripped, i.e., heated under vacuum to remove substantially all of the water. For example, removing about 98% of the water, thus leaving about 2% water in the ceramer composition, has been found to be suitable. When the curable binder precursor comprises free radically curable prepolymers, the resultant dried ceramer composition is a clear liquid. As soon as  
20 substantially all of the water is removed, an organic solvent of the type described above is added, if desired, in an amount such that the ceramer composition includes from about 5% to about 95% by weight, preferably from about 10% to about 50% by weight, and most preferably about 15% to about 30% by weight solids.

          The resultant ceramer composition is then coated onto any substrate to form  
25 a coated retroreflective article for which it is desired to improve the abrasion resistance, impact resistance, stain resistance, combinations of these, or the like while also maintaining optical clarity if desired. Examples of such substrates include traffic signs, lens elements on pavement markers, street name signs, pavement marking tapes, reflectors on bicycles, conspicuity markings for motor  
30 vehicles and trains, traffic cones, license plates, self adhesive stickers (such as the validation stickers that are affixed to license plates or windshields), commercial

advertising signs, clothing, barrels, barricades, and the like that incorporate any kind of retroreflective structure such as a cube corner retroreflective structure, an encapsulated lens retroreflective structure, an enclosed lens retroreflective structure, and the like.

5           Any suitable coating technique can be used for applying the ceramer composition to the substrate, depending upon the nature of the substrate, the viscosity of the ceramer composition, and the like. Examples of suitable coating techniques include spin coating, gravure coating, flow coating, flood coating, bar coating, rolling, dipping, wire wound coating, spray coating, coating with a brush  
10 or roller, screen printing, knife coating, curtain coating, slide curtain coating, extrusion, squeegee coating, and the like. Typical protective ceramer coatings of the present invention have a thickness in the range from about 1 micron to about 100 microns, preferably about 2 to about 50 microns, more preferably about 4 to about 9 microns. Generally, ceramer coatings that are too thin may not have  
15 sufficient abrasion and/or impact resistance. Ceramer films that are too thick may have more of a tendency to crack.

After coating, the solvent can be flashed off with heat or allowed to evaporate under ambient conditions. If radiation curable, the coated ceramer composition is then cured by irradiation with a suitable form of energy, such as  
20 visible light, ultraviolet light or electron beam radiation. Irradiating with ultraviolet light in ambient conditions is presently preferred due to the relative low cost and speed of this curing technique. Irradiation causes the curable binder precursor and the surface treated, colloidal inorganic oxide components to crosslink together to form a ceramer coating comprising a polymer matrix having  
25 the colloidal inorganic oxide components, and any optional additives, interspersed in the polymer matrix. The resultant ceramer coated substrate is thereby protected against stains, abrasion, and impact.

Generally, the surfaces of cured ceramer coatings of the present invention tend to be relatively hydrophobic. Thus, dew moisture or fog moisture tends to  
30 condense on such surfaces in the form of water beads that reduce the retroreflective brightness and obscure the visibility of information provided on the underlying

substrate. It is desirable in some applications, e.g., traffic signs, to treat the surface of the cured ceramer coating so that the surface becomes sufficiently hydrophilic so that moisture condenses on the surface as a uniform film rather than as beads. A film of water has significantly less of an impact upon retroreflective brightness and  
5 visibility than water beads.

The surface of a cured ceramer coating of the present invention can be rendered hydrophilic in a number of ways. According to preferred techniques, this may be accomplished by subjecting the surface to a corona treatment as described in U.S. Pat. No. 4,772,488 and in assignee's copending U.S. patent application No.  
10 08/663,965 filed June, 14, 1996 entitled Polymeric Article Having Improved Hydrophilizing and Method of Making Same; a plasma treatment; a chemical treatment such as treatment with a chemical etchant or an oxidant such as ozone; or the like. Advantageously, such treatments render the surface hydrophilic without adversely affecting other desirable properties of the coating. For example, treated  
15 surfaces become dew resistant but remain abrasion resistant, stain resistant, flexible, and the like. When using a corona treatment, the energy level typically is at least about 1 joules/cm<sup>2</sup>, preferably about 2 joules/cm<sup>2</sup> to about 20 joules/cm<sup>2</sup>. Conventional, commercially available corona apparatuses may be used for this treatment. For example, one suitable corona treater is commercially available  
20 under the trade designation EGR-48C from ENI Power Systems, Inc., Rochester, NY.

Preferably, corona or plasma treatment is carried out under conditions such that the resultant hydrophilic, treated surface has a water contact angle of 40 or less, preferably 20 or less, more preferably 15 or less. In contrast, untreated,  
25 hydrophobic, cured ceramer coating surfaces of the present invention tend to have a water contact angle of 50 or more. In the practice of the present invention, water contact angle is determined by a procedure in which a 0.01 ml droplet of water is deposited onto the surface to be tested. The static water contact angle of the deposited droplet is measured by the method essentially as described in Zisman,  
30 W.A., "Contact Angle, Wettability and Adhesion," Advances in Chemistry, series 43, American Chemical Society, Washington, D.C. (1964).

While not wishing to be bound by theory, it is believed that these anti-dew treatments work so well for achieving dew and anti-fogging resistance by oxidizing the coating surface without unduly affecting the underlying body of the coating material. The resultant oxidized surface is much more compatible with water than an untreated surface. It can be appreciated, therefore, that any treatment that controllably oxidizes the surface of the coating may also be used to achieve the same objective with beneficial results. The present invention will now be further described with reference to the following examples.

## EXAMPLES

### Test Methods

#### Test Procedure I: Taber Abrasion Test

This test measures the Taber abrasion of the ceramer composition when coated on a substrate and was performed according to ASTM D1044 (Standard Method for Resistance of Transparent Plastics to Surface Abrasion). Briefly, the test method involved abrading a sample on a TABER ABRASER tester for 100, 300 and 500 cycles using a 500 gram load with a CS-10F (at room temperature) wheel under a load of 500 grams. After each cycle of exposure to the abrasive wheels the percent change in haze was measured.

#### Test Procedure II: Warm Water Adhesion Test

This test was designed to test the ceramer composition's durability when coated on a substrate and submersed in water at elevated temperatures. The sample was completely submerged in water at the stated temperature for the stated time period. Specifically, the samples were submerged in water baths at about 60° C for 11 and 13 days, at about 71° C for 6 and 8 days and at about 82° C for 3 and 5 days. At the end of the stated time period, the samples were removed and examined for any delamination. To pass this test, the ceramer composition must not show any delamination from the substrate after a tape snap test and ASTM D3359-95a adhesion (cross-hatched) test were performed. A tape snap test is when tape is "snapped" off the surface of the coating and the coating visually inspected

for evidence of delamination. To be rated as "pass" minor or no evidence of delamination was found..

### Test Procedure III: Weatherability

5           This test assesses the ability of the ceramer composition, when coated on a substrate, to withstand weathering conditions (e.g., sunlight). The test was conducted according to ASTM Test Standard G-26-88, Type B, BH (Standard Practice for Operating Light Exposure Apparatus (Xenon-Arc Type) with and without Water for Exposure of Nonmetallic Materials).

10           Briefly, a sample was exposed to a 6500 watt xenon burner filter by borosilicate inner and outer filters at  $0.35\text{W/m}^2$  in a Water Cooled Xenon Arc Model 65XWWR Weathering Chamber, available from Atlas Electric Devices Co. (Chicago, Illinois) for repetitive cycles of 102 minutes at about  $63^\circ\text{C}$  followed by 18 minutes with a water spray. To provide an effective abrasion-resistant, ceramer  
15           coating for a particular substrate, and thus pass this test, the ceramer composition of the present invention must be capable of withstanding at least 1000 hours of exposure under these conditions with no significant yellowing, whitening, or other discoloration.

            Undesirable results obtained from this weathering test include, in particular,  
20           whitening, delamination, and "checks", which are imperfections in the form of slight inclusions in the coating.

### Test Procedure IV: Solvent Resistance Test

            Solvent resistance is defined as the degradation resistance of a ceramer  
25           composition coated on a substrate when the coating is contacted with a solvent. A small amount of solvent was applied to a horizontally positioned ceramer coating. The time for lifting or dissolution (as first observed) of the ceramer coating from the substrate by softly scratching or scraping the coating with a spatula was recorded. The time immediately prior to lifting or dissolution of the ceramer  
30           coating was recorded. A time of at least 10 minutes was preferred. During testing, the test spot was kept wet by re-applying more solvent if necessary.



Test Procedure V: Graffiti Resistance Test

A fine line of approximately 3 centimeters was drawn using a black SHARPIE™ fine point permanent marker (available from the Sanford Corporation, Bellwood, IL) on a substrate coated with a ceramer coating. This sample was then stored at room temperature for about 24 hours. The sample was then wiped with a lacquer thinner (67% toluene, 15% ethyl acetate, 10% butyl acetate, and 5% butanol). After wiping, a number value of 0 to 3 was assigned based on the appearance of the wiped line. The values were defined as follows:

- 3 = ink did not significantly wipe out;
- 2 = some amount of ink still left on line;
- 1 = very small amount of ink left on line;
- 0 = no ink left on line.

A low number is preferred.

Test Procedure VI: Contact Angle Measurement Test

A ceramer coated substrate was tested for static water contact angle using a 0.01 milliliter droplet by essentially the method described by Zisman, W.A., in "Contact Angle, Wettability, and Adhesion," Advances in Chemistry, Series 43, American Chemical Society, Washington, D.C. (1964). An anti-dew surface was indicated by a low (preferably  $< 20^\circ$ ) water contact angle.

Test Procedure VII: 60° Gloss Measurement

60° Gloss was measured following ASTM Test Method D523-85, or ASTM D2457-97 Standard Test Method for Specular Gloss of Plastic Films and Solid Plastics before and after the abrasion resistant test using a Pacific Scientific GLOSSGARD™ II 60° gloss meter (available from Gardner/Neotec Company, Silver Spring, MD).

Test Procedure VIII: Brightness Measurement

This method was used to measure the retroreflective performance of retroreflective sheeting at a 0.2 observation angle and a -4 entrance angle using ASTM Test Method E810-94 before and after the abrasion resistant test.

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Test Procedure IX: Abrasion Resistance Test

A slightly modified version of the Scrub Resistance ASTM TM D2486 was used to measure the abrasion resistance of ceramer coated substrates. A coated substrate was applied to an aluminum blank and held in place with tightening screws in a Gardner Straight Line Washability and Abrasion Machine (available from Gardner Laboratory, Inc., Bethesda, Maryland). The sample was then scrubbed for a known number of cycles with a nylon bristle brush and a Standardized Abrasive Medium, Abrasive Type #SC-2 (available from Leneta Co., Mahwah, NJ). The samples were then washed with warm water about 45°C (100°F), wiped clean and retroreflectivity and 60° gloss were measured.

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Test Procedure X: MEK Rub Test

This test was an indication of a coating's resistance to solvent degradation. In particular, this test was used to determine the solvent resistance of powder coated SCOTCHLITE™ Reflective License Plate Sheeting Series 3750 having graphics underneath the powder coat. The test was performed before and after applying and curing a ceramer coating.

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A KAYDRY™ towel was wet with MEK and then firmly rubbed back and forth 25 times (50 total rubs) by hand with the same towel. The sample was visually inspected and assigned a rating based upon degree of visible change. A rating of "pass" was assigned when no change was observed, while a rating of "fail" was assigned when the pigment underneath the powder coat demonstrated color fading.

Test Procedure XI: License Plate Embossability

Retroreflective sheeting was mounted on an aluminum alloy 3003-H12 blank having a thickness of 0.8 mm. An alphanumeric embossing pattern ABC 123 of about 2mm was impressed using male/female dies on an Embossing Press, available from Erick Utsch KG, Postfach, Siegen, Germany. A rating of "pass" was assigned when no visible cracking was evident.

Test Procedure XII: Surface Adhesion Test for Ink Receptivity

Retroreflective sheeting was mounted on an aluminum alloy 3003-H12 blank having a thickness of about 0.8 millimeters. An alphanumeric embossing pattern of ABC 123 of about 2 millimeters was embossed using an Embossing Press. This sample was then roll coated with 4800 Series Black Roll Coat paste, (available from 3M), an alkyd thermoset. The roll coated sample was then subjected to a tape snap test to determine the surface adhesion of ink to the ceramer of Example 1. The adhesion of the external color layer of the retroreflective substrate sample was evaluated by a procedure analogous to ASTM 3359. A first series of parallel lines about 1 to about 2 millimeters apart were scored in the surface of the sample, extending through the thickness of the color layer to or into the underlying layer. A second series of similar parallel lines were then scored perpendicular to the first series. A piece of adhesive tape (3M SCOTCH<sup>TM</sup> No. 610 Transparent Cellophane Tape), narrower than the array of cuts was applied over the clear coat with a 3M PA-1 Plastic Applicator. Then the tape was snapped off at 90°. The sample was then examined and the percent of the remaining color layer was determined to assess adhesion. A sample was rated from 0B to 5B as specified in ASTM 3359, according to the amount of ink removed. A rating of 5B is preferred.

Test Procedure XIII: Adhesion (Cross-Hatched)

A cross-hatch test was performed using the procedure shown in ASTM D3359-95a, Measuring Adhesion by Tape Test. The test on each sample was done by first cross-hatching the coated side of a sheet into approximately 1/4 inch (0.63

centimeter) squares. Next, about a 3-inch (7.6 centimeter) piece of 2-inch (5.1 centimeter) wide 3M Scotch Masking Tape 232, available from 3M, was used to cover the cross-hatched area. Finally, the edge of the tape was pulled straight back and inspected for debris of ceramer.

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#### Test Procedure XIV: Abrasion Resistance using Steel Wool

This test used a 1 in<sup>2</sup> #3 coarse steel wool pad with about 50 PSI pressure as specified in ASTM D4280-96 substituting sheet samples instead of markers. The test samples were visually inspected for scratches. The data excluded single scratches due to protruding steel wool whiskers.

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#### Test Procedure XV: Abrasion Resistance - Falling Carbide

ASTM Standard Test Method D968-93, for Abrasion Resistance of Organic Coatings by Falling Abrasive was used to determine abrasion resistance. 75 grams of silica carbide were used to abrade each spot of the samples. Each sample was then indexed to a new location and another 75 grams of silica carbide were dropped on the sample for a total of 5 spots. The 60° gloss of each sample was read before and after the test was administered using ASTM D2457-97, Standard Test Method for Specular Gloss of Plastic Films and Solid Plastics. The values were then put in term of percentage of the original.

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#### Test Procedure XVI: Dirt build-up resistance (Bitumen Adhesive (BT-69), SHARPIE™ pen)

This test checked the ability of the coatings to resist contaminants build-up on the lens sheetings. A small piece of BT-69 bitumen adhesive, available from 3M Traffic Control Materials Division, was used to draw lines approximately 1 to 1.5 inches (2.5-3.8 centimeter) long on retroreflective acrylic and polycarbonate sheetings bar coated with a ceramer, and then the lines were wiped off using a paper wipe (Kaydry™ EX-L made by Kimberly-Clark™). The wiped lens sheetings were visually inspected for trace of the BT-69. A similar test was done but using a

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SANFORD™ black SHARPIE™ pen model 30001. Samples were rated pass if no visible trace of contaminant was seen.

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Table of Components

Acronym	Descriptions	Manufacture Information
A-174	Silane A-174 Methacrylate-Functional Silane	OSI Specialties, Inc., Danbury, CT
BHT	Antioxidant CAO-3 Butylated Hydroxytoluene	Neville-Synthese Organics, Inc., Pittsburgh, PA
N,N-DMA	n,n-dimethylacrylamide	Alcolac, Baltimore, MD
FC405™	Fluorochemical	3M Chemicals, St. Paul, MN
IPA	Isopropanol	Ashland Chemical, Columbus, OH
Irga-Cure™ 184	1-Hydroxycyclohexyl Phenyl Ketone 184 IRGACURE	Ciba-Specialty Chemicals Corp, Tarrytown, NY
Kleboso™ 30 N 25	Colloidal silica solution	Clariant, Basking Ridge, NJ
Me FC405™	Me FC405	3M Chemicals, St. Paul, MN
NaAlO <sub>2</sub>	Sodium Aluminate	Matheson Coleman and Bell, Norwood, OH
NALCO™ 1034A	Colloidal silica solution	NalcoChemical Company, Naperville, IL
NALCO™ 2327	Colloidal silica solution	NalcoChemical Company, Naperville, IL
NALCO™ SnO <sub>2</sub>	TX-9715 colloidal Tin solution	NalcoChemical Company, Naperville, IL
Nyacol™ ZrO <sub>2</sub> (Ac)	Colloidal Zirconia solution	Nyacol Products Inc., Ashland, MA
PETA	Pentaerythritol Triacrylate SR-444C Special	Sartomer Company Inc., Exton, PA
Phenothiazine	PHENOTHIAZINE PURIFIED GRADE POWDER	ICI Americas Inc., Hopewell, VA
TINUVIN™ 123	bis-(1-octyloxy-2,2,6,6-tetramethyl-4-piperidiny)	Ciba-Geigy Corp., Hawthorne, NY
SANDUVOR™ 3058	N-acrylated HALS compound	Clariant Corp., Charlotte, NC
TINUVIN™ 400	1,3-Benzenediol, 4-[4,6-bis(2, 4-dimethyl)-1,3,5-triazin-2-yl]	Ciba-Geigy Corp., Hawthorne, NY
TINUVIN™ 292	bis-(1,2,2,6,6-pentamethyl-4-piperidiny) sebacate	Ciba-Geigy Corp., Hawthorne, NY
TINUVIN™	hydroxyphenyl benzotriazole	Ciba-Geigy Corp., Hawthorne,



1130		NY
TINUVIN™	3-(2H-benzotriazol-2-YL)-5-(tert-	Ciba-Geigy Corp., Hawthorne,
384	butyl)-4-	NY
	4hydroxybenzenepropanoic acid	
Vinings	Colloidal silica solution	Vinings Industries, Midway,
Indusil 520		GA

### Example 1

56.2 parts by weight of the curable binder precursor PETA (pentaerythritol triacrylate) was heated to about 49°C (120°F) in a one liter flask. 35.2 parts by weight aqueous silica sol (88 parts by weight of 40% solids, 20 nanometers average particle size, "NALCO™ 2327") were added to the PETA to form a first admixture. In a separate one liter flask, 7.7 parts by weight of the crosslinkable silane component 3-methacryloxypropyl-trimethoxysilane, ("A-174") was mixed with 0.8 parts by weight of fluoro/silane component of Formula (11) ("FC-405") to form a second admixture. The first and second admixtures were then mixed together to form a third admixture.

The third admixture was then "stripped", i.e., subjected to a gentle vacuum distillation (100 ± 20 mm Hg) at 52° ± 2°C until most of the water/methanol was removed. A residual amount, i.e., a few weight-percent, of water remained in the dried product. At the end of the stripping process, the admixture was diluted to 50% solids with a 14:1 weight-ratio of isopropyl alcohol:distilled water. This 50% solids admixture was further diluted to 25% solids with the same solvent mixture. About 0.7 parts by weight photoinitiator were also added ("IRGACURE™ 184").

The ceramer composition was then coated onto PMMA (polymethylmethacrylate) and polycarbonate substrates at a thickness of about 4 to about 5 micrometers using conventional flow coating techniques. Each coated substrate was then flash dried at about 60°C for 2.5 minutes in an air circulating oven to ensure that the majority of the isopropanol was driven off. Finally, the coating was cured on a conveyor belt of a UV light processor using a high pressure mercury lamp (Model QC 1202, available from PPG Industries, Plainfield, IL).

Specifically, the process conditions were as follows: 55 feet/minute, 410 volts, energy 90 mJ/cm<sup>2</sup> and atmospheric air.

The resulting ceramer coatings were perfectly clear and adhered to the PMMA and polycarbonate substrates. Furthermore, the resulting ceramer coatings passed Test Procedures I, II and III and had excellent shelf stability, i.e., after 6 months, sols prepared in accordance with the above procedure were clear, with no apparent flocculation.

### Example 2

Example 2 was prepared as described as in Example 1 except that the crosslinkable silane component was first added individually to the PETA and silica sol first admixture, and then the fluoro/silane component was added. Specifically, then, 56.2 parts by weight of PETA was heated to about 49°C (120°F) in a one liter flask. 35.2 parts by weight aqueous silica sol (88 parts by weight of 40% solids NALCO 2327) were added to form a first admixture in accordance with the present invention. 7.7 parts by weight of 3-methacryloxypropyl-trimethoxysilane was then added to the first admixture to form a third admixture premix, followed by addition of 0.8 parts by weight of a fluoro/silane component of Formula (11), to form a third admixture in accordance with the present invention.

The third admixture was then subjected to a gentle vacuum distillation (100 ± 20 mm Hg) at 52° ± 2°C until most of the water/methanol was removed. A residual amount, i.e., a few weight-percent, of water remained in the product. At the end of the stripping process, the admixture was diluted to 50% solids with a 14:1 weight-ratio of isopropyl alcohol:distilled water. This 50% solids admixture was further diluted to 25% solids with the same solvent mixture. About 0.7 parts by weight photoinitiator were also added (IRGACURE™ 184).

The resulting ceramer composition was then coated onto PMMA (polymethylmethacrylate) and polycarbonate substrates at a thickness of about 4 to about 5 micrometers using conventional flow coating techniques. Each coated substrate was then flash dried at about 60° C for 2.5 minutes in an air circulating oven to ensure that the majority of the isopropanol was driven off. Finally, the

ceramer coating was cured on a conveyor belt of a UV light processor using a high pressure mercury lamp (Model QC 1202). Specifically, the process conditions were as follows: 55 feet/minute, 410 volts, energy 90 mJ/cm<sup>2</sup> and atmospheric air.

The resulting ceramer coatings were perfectly clear and adhered to the PMMA and polycarbonate substrates. Furthermore, the resulting ceramer coatings passed Test Procedures I, II and III.

### Example 3

Example 3 was prepared as described as in Example 1 except that the fluoro/silane component was first added individually to the PETA and silica sol first admixture, and then the crosslinkable silane component was added. Specifically, then, 56.2 parts by weight of PETA was heated to about 49°C (120°F) in a one liter flask. 35.2 parts by weight aqueous silica sol (88 parts by weight of 40% solids NALCO 2327) were added to form a first admixture of the present invention. 0.8 parts by weight of a fluoro/silane component according to Formula (11) was then added to the first admixture, followed by 7.7 parts by weight of 3-methacryloxypropyl-trimethoxysilane, to form a third admixture in accordance with the present invention. The fluorosilane precipitated. Thus, the composition was not coatable and the experiment was not completed.

### Example 4

Example 4 was prepared as described in Example 1 except that 8.0 parts by weight dimethylacrylamide (DMA) were added to the third admixture after the addition of the first admixture to the second admixture. That is, 56.2 parts by weight PETA was heated to about 49°C (120°F). 35.2 parts by weight aqueous silica sol (88 parts by weight of 40% solids NALCO 2327) were added to form a first admixture in accordance with the present invention. In a separate one liter flask, 7.7 parts by weight of 3-methacryloxypropyl-trimethoxysilane and 0.8 parts by weight of a fluoro/silane component according to Formula (11) were mixed together to form a second admixture. The first and second admixtures were then

mixed together to form a third admixture. 8.0 parts by weight DMA were then added to the third admixture.

The third admixture was then stripped by application of a gentle vacuum distillation ( $100 \pm 20$  mm Hg) at  $52^\circ \pm 2^\circ\text{C}$  until most of the water/methanol was removed. A residual amount, i.e., a few weight-percent, of water remained in the dried product. At the end of the stripping process, the admixture was diluted to 50% solids with a 14:1 weight-ratio of isopropyl alcohol:distilled water. This 50% solids admixture was further diluted to 25% solids with the same solvent mixture. About 0.7 parts by weight photoinitiator were also added (IRGACURE™ 184).

The ceramer composition was then coated onto PMMA (poly[methylmethacrylate]) and polycarbonate substrates at a thickness of about 4 to about 5 micrometers using conventional flow coating techniques. Each coated substrate was then flash dried at about  $60^\circ\text{C}$  for 2.5 minutes in an air circulating oven to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a high pressure mercury lamp (Model QC 1202). Specifically, the process conditions were as follows: 55 feet/minute, 410 volts, energy  $90\text{ mJ/cm}^2$  and atmospheric air.

The resulting ceramer coatings were perfectly clear and adhered to the PMMA and polycarbonate substrates. Furthermore, the resulting ceramer coatings passed Test Procedures I, II and III. Also, the coatings prepared according to Example 1, prepared without DMA, performed just as well as the coatings of this example. This example thus shows that DMA may be used in the ceramer compositions of the present invention, if desired, but is not required.

#### Comparative Example A

This ceramer composition contained dimethylacrylamide (DMA) as a component of the binder precursor, but no fluoro/silane component. Specifically, 56.2 parts by weight of PETA was heated to about  $49^\circ\text{C}$  ( $120^\circ\text{F}$ ). 35.2 parts by weight aqueous silica sol (88 parts by weight of 40% solids NALCO 2327) were added to form a first admixture in accordance with the present invention. In a separate one liter flask, 7.7 parts by weight of 3-methacryloxypropyl-

trimethoxysilane was mixed with 8.0 parts by weight DMA to form a DMA-altered second admixture. The first admixture was mixed with the DMA-altered second admixtures to form a third admixture.

The third admixture was then stripped by application of gentle vacuum distillation ( $100 \pm 20$  mm Hg) at  $52^\circ \pm 2^\circ\text{C}$  until most of the water/methanol was removed. A residual amount, i.e., a few weight-percent, of water remained in the dried product. At the end of the stripping process, the admixture was diluted to 50% solids with a 14:1 weight-ratio of isopropyl alcohol:distilled water. This 50% solids admixture was further diluted to 25% solids with the same solvent mixture. About 0.7 parts by weight photoinitiator (IRGACURE™ 184) was also added. The ceramer composition was then coated onto PMMA and polycarbonate substrates at a thickness of 4 to 5 micrometers using conventional flow coating techniques. Each coated substrate was then flash dried at about  $60^\circ\text{C}$  for 2.5 minutes in an air circulating oven to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a high pressure mercury lamp (Model QC 1202). Specifically, the process conditions were as follows: 55 feet/minute, 410 volts, energy  $90\text{ mJ/cm}^2$  and atmospheric air.

The resulting ceramer coatings were perfectly clear and adhered to the PMMA and polycarbonate substrates. Furthermore, the resulting ceramer coatings passed Test Procedures I, II and III. Thus, this example shows that DMA has a stabilizing effect on ceramer sols, i.e., the addition of DMA is effective to prevent colloid flocculation.

## 25 Comparative Example B

Comparative Example B was prepared as described in Example 2 except that no fluoro/silane component was added. Instead, 8.0 parts by weight dimethyl acrylamide (DMA) were added as a component of the binder precursor after the crosslinkable silane component addition. Specifically, then, 56.2 parts by weight of PETA was heated to about  $49^\circ\text{C}$  ( $120^\circ\text{F}$ ) in a one liter flask. 35.2 parts by weight aqueous silica sol (88 parts by weight of 40% solids NALCO 2327) were



added to form a first admixture in accordance with the present invention. 7.7 parts by weight of 3-methacryloxypropyl-trimethoxysilane was then added to the first admixture, followed by the addition of 36.2 parts by weight DMA.

The resultant admixture was then subjected to a gentle vacuum distillation (100  $\pm$  20 mm Hg) at 52°  $\pm$  2°C until most of the water/methanol was removed. A residual amount, i.e., a few weight-percent, of water remained in the dried product. At the end of the stripping process, the admixture was diluted to 50% solids with a 14:1 weight-ratio of isopropyl alcohol:distilled water. This 50% solids admixture was further diluted to 25% solids with the same solvent mixture. About 0.7 parts by weight photoinitiator (IRGACURE™ 184) were also added.

The ceramer composition was then coated onto PMMA and polycarbonate substrates at a thickness of about 4 to about 5 micrometers using conventional flow coating techniques. Each coated substrate was then flash dried at about 60° C for 2.5 minutes in an air circulating oven to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a high pressure mercury lamp (Model QC 1202). Specifically, the process conditions were as follows: 55 feet/minute, 410 volts, energy 90 mJ/cm<sup>2</sup> and atmospheric air. The resulting ceramer coatings were perfectly clear and adhered to the PMMA and polycarbonate substrates. Furthermore, the resulting ceramer coating passed Test Procedures I, II and III.

#### Comparative Example C

Comparative Example C ("Comp. C") was a hardcoating prepared using commercially available coating material from Cyro Corp., Rockaway, NJ, under the trade designation "CYRO AR".

#### Summary of Results

The components of the additives utilized are tabulated in Table 1. As is illustrated in the following Tables 2 through 9, a nonionic fluorochemical comprising both a fluorinated moiety and a silane moiety (fluoro/silane component) can be successfully incorporated into a ceramer sol, without causing

colloid flocculation (See Examples 1-2 and 4). These examples further illustrate that ceramer coatings comprising such a fluoro/silane component, whether prepared with or without DMA, have surprisingly long shelf lives and excellent stain resistant characteristics (See Examples 1 and 4). Additionally, ceramer compositions of the present invention can be used to prepare ceramer coatings that exhibit a high level of abrasion resistance, durability and hardness (See Tables 2-9).

TABLE 1

Additive	Components on Ceramer Basis
I	0.9 parts by weight "TINUVIN™ 123" 1.6 parts by weight "SANDUVOR <sup>2</sup> 3058" 2.8 parts by weight "TINUVIN™ 1130" 2.8 parts by weight "TINUVIN™ 400"
II	2 parts by weight "TINUVIN™ 292"
III	2 parts by weight "TINUVIN™ 292" 2 parts by weight "TINUVIN™ 384"
IV	1.2 parts by weight "TINUVIN™ 123" 0.7 parts by weight "SANDUVOR 3058" 2.07 parts by weight "TINUVIN™ 384" 2.07 parts by weight "TINUVIN™ 400"

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TABLE 2  
Taber Abrasion Test - Coated on PMMA  
Percent Haze

Sample	100 cycles	300 cycles	500 cycles
Comp. A*	2.6	3.1	4.1
Example 4*	2.0	3.0	3.6
Comp. B	1.4	3.0	3.9
Example 1	1.3	3.1	3.9
Example 4	0.9	2.4	3.2
Comp. A**	1.9	3.6	4.2
Comp. B**	1.5	2.8	3.7
Example 1**	1.6	3.3	4.1
Example 4**	1.3	2.9	3.5

Samples denoted with "\*" included 4 wt-% of additive III on a ceramer weight basis.

Samples denoted with "\*\*" included 2 wt-% of additive II.

TABLE 3  
Taber Abrasion Test - Coated on Polycarbonate  
Percent Haze

Sample	100 cycles	300 cycles	500 cycles
Example 1	1.7	3.3	4.1
Example 4	1.3	2.8	3.5
Comp. A*	3.1	3.2	3.8
Example 4*	2.8	2.7	3.1
Comp. B	1.3	2.3	3.2
Example 1	1.0	2.5	3.2
Example 4	1.0	2.3	3.1
Comp. A**	2.5	2.9	4.4
Comp. B**	3.0	2.5	3.5
Example 1**	3.0	2.6	3.3
Example 4**	2.7	2.2	3.1
Comp. C.	2.5	3.0	3.8

Samples denoted with "\*" included 6 wt-% of additive IV on a ceramer

5 weight basis.

Samples denoted with "\*\*" included 8 wt-% of additive I.

TABLE 4  
Warm Water Adhesion Test - Coated on PMMA

Sample	11 days @ 60°C	8 days @ 71°C	3 days @ 82°C
Example 1	pass	pass	pass
Example 4	pass	pass	pass
Comp. A*	pass	pass	pass
Example 4*	pass	pass	pass

10 Samples denoted with "\*" included 4 wt-% of additive III on a ceramer  
weight basis.

TABLE 5  
Warm Water Adhesion Test - Coated on PMMA

Sample	13 days @ 60°C	6 days @ 71°C	5 days @ 82°C
Comp. B	pass	pass	pass
Example 1	pass	pass	pass
Example 4	pass	pass	pass
Comp. A*	pass	pass	pass
Comp. B*	pass	pass	pass
Example 1*	pass	pass	pass
Example 4*	pass	pass	pass
Comp. C.	pass	pass	pass

Samples denoted with "\*" included 2 wt-% of additive II on a ceramer weight basis.

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TABLE 6  
Warm Water Adhesion Test - Coated on Polycarbonate

Sample	11 days @ 60°C	8 days @ 71°C	3 days @ 82°C
Comp. A	pass	pass	pass
Example 1	pass	pass	pass
Example 4	pass	pass	pass
Comp. A*	pass	pass	pass
Example 4*	pass	pass	pass

Samples denoted with "\*" included 6 wt-% of additive IV on a ceramer weight basis.

10

TABLE 7  
Warm Water Adhesion Test - Coated on Polycarbonate

Sample	13 days @ 60°C	6 days @ 71°C	5 days @ 82°C
Comp. A	good	good	good
Comp. B	good	good	good
Example 1	good	good	good
Example 4	good	good	good
Comp. A*	good	good	delaminated
Comp. B*	good	good	delaminated
Example 1*	good	good	delaminated
Example 4*	good	good	delaminated
Comp. C.	good	good	good

Samples denoted with "\*" included 8 wt-% of additive I on a ceramer weight basis.

**TABLE 8**  
Weathering Test - Coated on PMMA

<b>Sample</b>	<b>Hours</b>
Example 1	1400 - few small checks
Example 4	1400 - few small checks
Comp. A	1400 - few small checks
Example 1*	3700 - few long checks
Example 4*	not done
Comp. A*	3515+
Example 1	1800 - checks, slight whitening
Example 4	1800 - checks, slight whitening
Comp. B	1800 - checks, slight whitening
Example 1**	2425+
Example 4**	2425+
Comp. A**	3515+
Comp. B**	2425+

+ denotes that the test is on going

5 Samples denoted with "\*" included 4 wt-% of additive III on a ceramer weight basis.

Samples denoted with "\*\*" included 2 wt-% of additive II on a ceramer weight basis.



TABLE 9  
Weathering Test - Coated on Polycarbonate

Sample	Hours
Example 1	1000 - 20% delamination
Example 4	1000 - total delamination
Comp. A	~800
Example 1*	2400 - slight delamination
Example 4*	not done
Comp. A*	2200
Example 1	~750
Example 4	~750
Comp. A	~800
Comp. B	~900
Example 1**	2425 - slight delamination & very small checks
Example 4**	2425 - slight delamination & very small checks
Comp. A**	~2500
Comp. B**	2425 - slight delamination

Samples denoted with "\*" included 6 wt-% of additive IV on a ceramer weight basis.

- 5        Samples denoted with "\*\*\*" included 8 wt-% of additive I on a ceramer weight basis.

#### Example 5

Example 5 was prepared as described in Example 1, except a silica/alumina  
 10 mixture was substituted for the silica. Thus, 51.7 parts by weight of PETA was  
 preheated to about 49°C (120°F) and then combined with 32.5 parts by weight of  
 silica sol (81.2 parts by weight of 40% solids NALCO™ 2327, 20nm) and 1 parts by  
 weight sodium aluminate (NaAlO<sub>2</sub>) to form a first admixture. A second admixture  
 of 7.8 parts by weight of A-174™ and 0.8 parts by weight of the compound of  
 15 Formula (11) was prepared and added to the first admixture with stirring to form a  
 third admixture.

This third admixture was gently vacuum distilled to remove most of the  
 water and methanol. The stripped mixture was diluted to 25 wt% solids using a  
 14:1 mixture of isopropanol/water and about 0.7 parts by weight of IRGACURE™  
 20 184 was added to produce a coating composition. This was coated onto

polymethylmethacrylate and polycarbonate substrates and cured as previously described.

#### Example 6

5           Example 6 was prepared as described in Example 5, except  
dimethylacrylamide was added. Thus, 51.6 parts by weight of PETA was preheated  
to about 49°C (120°F) and then combined with 32.4 parts by weight of silica (81 parts  
by weight of 40% solids NALCO 2327™, 20 nm) and 1 parts by weight sodium  
aluminate (NaAlO<sub>2</sub>) to form a first admixture. A second admixture of about 0.7  
10 parts by weight of A-174™, 0.8 parts by weight of the compound of Formula (11)  
and 8.0 parts by weight of dimethylacrylamide was prepared and added to the first  
admixture with stirring to form a third admixture.

This third admixture was gently vacuum distilled to remove most of the water  
and methanol. The stripped mixture was diluted to 25 wt% solids using a 14:1  
15 mixture of isopropanol/water and about 0.7 parts by weight of IRGACURE™ 184  
was added to produce a coating composition. This was coated onto  
polymethylmethacrylate and polycarbonate substrates and cured as previously  
described.

#### 20   Example 7

The ceramer composition of Example 1 was flow coated onto a PMMA  
topcoat of DIAMOND GRADE™ Series 3900 Retroreflective Sheeting, available  
from 3M. Immediately after the flow coating operation was completed (about 30  
seconds), the sheeting was dried in a conventional forced-air oven at about 65°C  
25 for 2 minutes. The coated sheeting was then placed on a conveyor belt of UV  
processor Model C18/400/2 (available from American Ultraviolet Co. Murray Hill,  
NJ) equipped with a medium-pressure mercury lamp. The process parameters  
were: line speed 7.6 meter/minute; voltage 600 volts; total energy 1.28 joules/cm<sup>2</sup>,  
atmosphere - air. The resulting coating was visibly clear. Half of this coated  
30 sheeting was then corona treated twice using 500 watt power and a web speed of  
0.9 meter/minute. The corona treated and non-corona treated sheetings were

applied to aluminum panels and tested for solvent resistance, water contact angle, and graffiti resistance according to the test methods disclosed above. Water contact angle was tested immediately after corona treatment, and after soaking the corona treated film in water for 24 hours and then drying at room temperature for about 2 to about 3 hours.

#### Example 8

The ceramer composition of Example 1 was flow coated onto a PMMA topcoat of HIGH INTENSITY™ Series 3800 Retroreflective Sheeting available from 3M. Immediately after the flow coating operation was completed (about 30 seconds), the sheeting was dried in a conventional forced-air oven at about 65°C for about 2 minutes. The coated sheeting was then placed on a conveyor belt of UV processor Model C18/400/2 (available from American Ultraviolet Co. Murray Hill, NJ) equipped with a medium-pressure mercury lamp. The process parameters were: line speed 7.6 meter/minute; voltage 300 volts; total energy 0.6 joules/cm<sup>2</sup>, atmosphere air. The resulting coating was visibly clear. Half of this coated sheeting was corona treated twice using 500 watt power and a web speed of 0.9 meter/minute. The corona treated and non-corona treated sheetings were applied to aluminum panels and tested for solvent resistance, water contact angle, and graffiti resistance according to the test methods disclosed above. Water contact angle was tested immediately after corona treatment, and after soaking the corona treated film in water for about 24 hours and then drying at room temperature for about 2 to about 3 hours.

#### Example 9

The ceramer composition of Example 5 was flow coated on a PMMA topcoat of DIAMOND GRADE™ Retroreflective Sheeting Series 3900, available from 3M. Immediately after the flow coating operation was completed (about 30 seconds), the sheeting was dried in a conventional forced-air oven at about 65°C for about 2 minutes. The coated sheeting was then placed on a conveyor belt of UV processor Model C18/400/2 (available from American Ultraviolet Co. Murray

Hill, NJ) equipped with a medium-pressure mercury lamp. The process parameters were: line speed 7.6 meter/minute; voltage 600 volts; total energy 1.2 joules/cm<sup>2</sup>, atmosphere air. The resulting coating was visibly clear. Half of this coated sheeting was corona treated twice using 500 watt power and a web speed of 0.9 meter/minute. The corona treated and non-corona treated sheetings were applied to aluminum panels and tested for solvent resistance, water contact angle, and graffiti resistance according to the test methods disclosed above.

#### Example 10

The ceramer composition of Example 5 was flow coated onto a PMMA topcoat of HIGH INTENSITY™ Retroreflective Sheeting available from 3M. Immediately after the flow coating operation was completed (about 30 seconds), the sheeting was dried in a conventional forced-air oven at about 65°C for about 2 minutes. The coated sheeting was then placed on a conveyor belt of UV processor Model C18/400/2 (available from American Ultraviolet Co. Murray Hill, NJ) equipped with a medium-pressure mercury lamp. The process parameters were: line speed 7.6 meter/minute; voltage 300 volts; total energy 0.68 joules/cm<sup>2</sup>, atmosphere - air. The resulting coating was visibly clear. Half of this coated sheeting was corona treated twice using 500 watt power and a web speed of 0.9 meter/minute. The corona treated and non-corona treated sheetings were applied to aluminum panels and tested for solvent resistance, water contact angle, and graffiti resistance according to the test methods disclosed above.

#### Example 11

SCOTCHLITE™ Preclear Reflective License Plate Sheeting Series 4780A (available from 3M) was applied to an aluminum blank. This License Plate sheeting has lens elements embedded within a transparent resin. This plate was then flood coated with the ceramer composition of Example 1 (i.e., the ceramer was applied to a urethane topcoat). The plate was coated by holding the plate in a vertical position, while ceramer composition of Example 1 was applied using a spray bottle in a continuous motion to cover the plate completely. After allowing

the excess composition to drain from the plate, the plate was dried in a conventional forced-air oven at about 66°C (150°F) for about 2 minutes to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a medium pressure mercury lamp. Specifically, the process conditions were as follows: 8.3 meter/min  
5 600 watt/inch, energy 1.22J/cm<sup>2</sup> and atmosphere-air. The resulting ceramer coatings were visibly clear. The control was untreated SCOTCHLITE™ Preclear Reflective License Plate Sheeting Series 4780A (available from 3M). Then, the coated plate was tested for abrasion resistance.

10

### Example 12

The sheeting of Example 11 was used without a urethane top. This plate which had an EAA (ethylene acrylic acid) topcoat was then flood coated with the ceramer composition of Example 1. The plate was coated by holding the plate in a vertical position while ceramer composition of Example 1 was applied using a spray bottle in a continuous motion to cover the plate completely. After allowing the excess composition to drain from the plate, the plate was dried in a conventional forced-air oven at about 66°C (150°F) for about 2 minutes to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a medium pressure mercury lamp. Specifically, the process conditions were as follows: 8.2  
15 meter/min, 600 watt/inch, energy 1.22J/cm<sup>2</sup> and atmosphere-air. The resulting ceramer coatings were visibly clear. Then, the coated plate was tested for abrasion resistance, graffiti resistance, ink receptivity, and also embossability. The control  
20 was untreated SCOTCHLITE™ Preclear Reflective License Plate Sheeting Series 4780A (available from 3M) without the urethane topcoat.

25

### Example 13

SCOTCHLITE™ Preclear Reflective License Plate Sheeting Series 4750A  
30 (available from 3M), was applied to an aluminum blank. This plate was then flood coated with the ceramer composition of Example 1. The plate was coated by



holding the plate in a vertical position while the ceramer composition of Example 1 was applied using a spray bottle in a continuous motion to cover the plate completely. After allowing the excess composition to drain from the plate, the plate was dried in a conventional forced-air oven at about 66°C (150°F) for about 2 minutes to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a medium pressure mercury lamp and passed twice to ensure complete curing. Specifically, the process conditions were as follows: 8.3 meter/min, 600 watt/inch, total energy 1.22J/cm<sup>2</sup> and atmospheric air. The resulting ceramer coatings were visibly clear. Then, the coated plate was tested for abrasion, graffiti resistance, ink receptivity and also embossability. The control was the uncoated SCOTCHLITE™ Preclear Reflective License Plate Sheeting Series 4750A (available from 3M), was applied to an aluminum blank.

#### 15 Example 14

SCOTCHLITE™ Reflective License Plate Sheeting Series 3750, (available from 3M) was applied to an aluminum blank and clear coated using acrylic powder B66 (available from Rohm and Haas, Philadelphia, PA) at 300°C for about 20 minutes. This plate was then flood coated with the ceramer composition of Example 1. The plate was coated by holding the plate in a vertical position while the ceramer solution was applied using a spray bottle in a continuous motion to cover the plate completely. After allowing the excess composition to drain from the plate, the plate was dried in a conventional forced-air oven at about 66°C (150°F) for about 2 minutes to ensure that the majority of the isopropanol was driven off. Finally, the ceramer coating was cured on a conveyor belt of a UV light processor using a medium pressure mercury lamp. Specifically, the process conditions are as follows: 8.3meter/min, 600 watt/inch, energy 1.22J/cm<sup>2</sup> and atmosphere-air. The resulting ceramer coatings were visibly clear. Then, the coated plate was tested for abrasion, graffiti resistance, ink receptivity, and also embossability. The control was the uncoated SCOTCHLITE™ Reflective License Plate Sheeting Series 3750, (available from 3M).

Example 15

SCOTCHLITE™ Reflective License Plate Sheeting Series 3750 was applied to an aluminum blank. A graphic was created using PCN Blue VAGH DISP 815284 (available from Penn Color Inc. Doylestown, PA) and then clear coated using an acrylic powder B66 (available from Rohm and Haas, Philadelphia, PA) at about 300°C for about 20 minutes. This plate was then flood coated with the ceramer composition of Example 1. The plate was coated by holding the plate in a vertical position while the ceramer composition of Example 1 was applied using a spray bottle in a continuous motion to cover the plate completely. After allowing the excess composition to drain from the plate, the plate was dried in a conventional forced-air oven at about 66°C (150°F) for about 2 minutes to ensure that the majority of the isopropanol was driven off. Finally the ceramer coating was cured on a conveyor belt of a UV light processor using a medium pressure mercury lamp. Specifically, the process conditions are as follows: 8.3 meter/min, 600 watt/inch, energy 1.22J/cm<sup>2</sup> and atmosphere-air. The resulting ceramer coatings were visibly clear. Then, the coated plate was tested for abrasion resistance and solvent resistance using the MEK rub test. The control was uncoated SCOTCHLITE™ Reflective License Plate Sheeting Series 3750.

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TABLE 10  
Water Contact Angle, Solvent Resistance,  
and Graffiti Resistance Results Before and After Corona Treatment

Example	Contact Angle before water soaking	Contact Angle after soaking in water 24 Hr and drying	Solvent Resistance toluene/xylene 30/70	Solvent Resistance MEK	Graffiti resistance
7 (non-corona)	82°	90-91°	>20 minute	>15 minute	0
7 (corona)	6-15°	15-22°	>20 minute	>15 minute	0
8 (non-corona)	89°	90°	>20 minute	>15 minute	0
8 (corona)	16°	17°	>20 minute	>15 minute	0

TABLE 11  
Water Contact Angle, Abrasion Resistance,  
and Solvent Resistance Results Before and After Corona Treatment

Example	contact angle	scrub cycles	Brightness @.2/-4			60° Gloss			solvent resistance toluene/xylene 30/70	solvent Resistance MEK	Graffiti resistance
			initial	Final	% Ret	Initial	Final	% Ret			
9 (non-corona)	87°	100	1083	891	82%	97	77	79%	>15 minute	>15 minute	0
9 (corona)	15°	100	1050	890	85%	95	83	87%	>15 minute	>15 minute	0
10 (non-corona)	86°	100	320	248	78%	125	111	89%	>15 minute	>15 minute	0
10 (corona)	12°	100	301	268	89%	124	108	87%	>15 minute	>15 minute	0

**TABLE 12**  
**Comparison of Brightness and Gloss Retention for**  
**Various License Plate sheetings Before and After Ceramer Coating**

Example	Scrub Cycle	Brightness @ 2/-4			60° Gloss			Graffiti Resistance
		Init	Final	% Ret	Init	Final	% Ret	
11	100	95.5	72.2	76%	85.6	65.1	76%	0
Control 11	100	101.5	67.5	67%	86.7	51.9	60%	1
12	100	82.7	65	79%	85.6	65.1	76%	0
Control 12	100	88.6	9.3	10%	88.0	9.6	11%	2
13	100	84.6	82.3	97%	83.2	78.7	95%	2
Control 13	100	80.1	35.6	44%	89.9	33.6	37%	3
14	100	93.4	54.9	59%	60.1	62.0	103%	0
Control 14	100	61.5	18.4	30%	64.0	29.7	46%	*

\*Removal of inks using the solvents destroyed the top film thus also destroying the retroreflectivity.

**TABLE 13**

Comparison of Brightness and Gloss Retention  
for SCOTCHLITE™ Reflective License Plate Sheeting Series 3750 with  
Graphic Underneath of Acrylic Powder Coat Before and After Ceramer Coating

5

Example	Scrub Cycle	Brightness @.2/-4			60° Gloss			MEK Rub Test
		Init	Final	% Ret	Init	Final	% Ret	
15	100	26.9	28.9	107%	22	22	100%	pass
Control 15	100	96.6	25.6	27%	42	25.6	61%	fail

**TABLE 14**

License Plate Embossability and Surface Adhesion Test for Ink Receptivity

Example	Ink	Embossability	Surface adhesion of Ink
11	4805V	Pass	5B
11	4852Vinyl	Pass	5B
13	4805V	Pass	5B
13	4852Vinyl	Pass	5B

#### 10 Example 16

The samples were made using flow coat and bar coating processes. To flow coat a sheet, an eye dropper is used for delivering the ceramers across the top edge of vertically oriented sheets. These coated sheets were then put into an oven at about 67 to 78°C for approximately 2 minutes to remove solvents. Finally they were put through a UV machine to cure with a total energy of at least 0.17 J/cm<sup>2</sup>, but preferably in a range of 0.5 J/cm<sup>2</sup> to 1.5 J/cm<sup>2</sup>. In bar coating, a straight metal bar with about a 2 microinch (50.8 micrometer) gap was used for drawing the ceramers across the sheets. The sheets were then cured in the same process as described above.

20 The following tests were performed on these samples: Taber Abraser, Falling Silica Carbide, Steel Wool Rub, Sharpie™ Pen, Bitumen Stain, and Cross Hatch Adhesion. The results are included herein.



**TABLE 15**  
**Formulations with Different FC405™ to A-174™ Silane Coupling Agents**

	Sample					
	1	2	3	4	5	6
PETA	21.6%	22.2%	21.8%	21.8%	21.8%	21.8%
Nalco 2327	34.0%	34.8%	34.3%	34.3%	34.3%	34.3%
A-174™	3.9%	1.7%	3.1%	3.1%	2.9%	3.3%
FC405™	0.3%	0.3%	0.3%	0.3%	0.5%	0.2%
BHT	0.033%	0.034%	0.034%	0.034%	0.034%	0.034%
Phenothiazine	0.004%	0.004%	0.004%	0.004%	0.004%	0.004%
IRGACURE™ 184	0.850%	0.857%	0.844%	0.852%	0.856%	0.848%
TINUVIN™ 292	0.688%	0.694%	0.690%	0.690%	0.693%	0.687%
IPA	36.0%	36.8%	36.3%	36.3%	36.3%	36.3%
Water	2.6%	2.6%	2.6%	2.6%	2.6%	2.6%
Total Weight (%):	100%	100%	100%	100%	100%	100%
Taber Gloss Retention:	93%	91%	87%	77%	88%	88%
Falling Carbide Gloss Retention:	89.86%	88.24%	88.89%	93.44%	97.06%	100.00%
Steel Wool Test:	Pass	Pass	Pass	Pass	Pass	Pass
SHARPIE™ Pen Test:	Pass	Pass	Pass	Pass	Pass	Pass
Bitumen Test :	No Data	No Data	Pass	No Data	No Data	Pass
Cross-hatched:	Pass	Pass	Pass	Pass	Pass	Pass

TABLE 16

Other Types of Silica Particles	Sample 7	Sample 8	Sample 9
PETA	20.42%	22.03%	19.57%
Nalco 1034A	38.47%	0.00%	0.00%
Vinings Indusil 520	0.00%	33.75%	0.00%
Klebosol 30 N 25	0.00%	0.00%	40.97%
A-174 <sup>TM</sup>	2.90%	3.13%	2.78%
FC405 <sup>TM</sup>	0.31%	0.33%	0.29%
BHT	0.03%	0.03%	0.03%
Phenothiazine	0.00%	0.00%	0.00%
IRGACURE <sup>TM</sup> 184	0.85%	0.84%	0.85%
TINUVIN <sup>TM</sup> 292	0.69%	0.68%	0.69%
IPA	33.91%	36.59%	32.50%
Water	2.42%	2.61%	2.32%
Total Weight (%):	100%	100%	100%
Taber Abraser Gloss Retention:	65%	88%	85%
Falling Carbide Gloss Retention:	93.94%	88.14%	90.63%
Steel Wool Test:	Pass	Pass	Pass
Sharpie Pen Test:	Pass	Pass	Pass
Bitumen Test :	Pass	No Data	No Data
Cross-hatched:	Pass	Pass	Pass

TABLE 17  
Mixed SiO<sub>2</sub>/Metal Oxides

	Sample					
	10	11	12	13	14	15
PETA	21.81%	21.08%	21.50%	21.78%	21.46%	21.10%
NALCO 2327	34.24%	33.10%	32.07%	34.19%	32.02%	29.82%
SnO <sub>2</sub>	0.00%	0.00%	3.21%	0.00%	3.37%	6.63%
NaAlO <sub>2</sub>	0.14%	0.14%	0.00%	0.28%	0.00%	0.00%
A-174™	3.09%	2.99%	3.05%	3.09%	3.05%	2.99%
FC405 (Et)	0.33%	0.32%	0.32%	0.33%	0.32%	0.32%
N,N-DMA	0.00%	3.28%	0.00%	0.00%	0.00%	0.00%
BHT	0.03%	0.03%	0.03%	0.03%	0.03%	0.03%
Phenothiazine	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
IRGACURE™ 184	0.85%	0.85%	0.85%	0.85%	0.85%	0.85%
TINUVIN™ 292	0.69%	0.69%	0.69%	0.69%	0.69%	0.69%
IPA	36.23%	35.02%	35.71%	36.17%	35.66%	35.05%
Water	2.59%	2.50%	2.55%	2.58%	2.55%	2.50%
Total Weight(%):	100%	100%	100%	100%	100%	100%
Taber Abraser Gloss Retention:	93%	87%	85%	86%	75%	64%
Falling Carbide Gloss Retention:	90.63%	81.54%	88.14%	92.98%	77.27%	87.88%
Steel Wool Test:	Pass	Pass	Pass	Pass	Pass	Pass
SHARPIE™ Pen Test:	Pass	Pass	Pass	Pass	Pass	Pass
Cross-hatched:	Pass	Pass	Pass	Pass	Pass	Pass

TABLE 18  
DMA Based Ceramer

	Comparative
PETA	20.75%
NALCO 2327	32.58%
N,N-DMA	3.23%
A-174	3.27%
BHT	0.03%
Phenothiazine	<<.001%
TINUVIN™ 292	0.69%
Irga-Cure	0.86%
IPA	37.46%
Distilled Water	2.68%
Taber Abraser Gloss Retention:	64%
Falling Carbide Gloss Retention:	103%
Steel Wool Test:	Pass
SHARPIE™ Pen Test:	Pass
Bitumen Test :	Pass
Cross-hatched:	Pass

Other embodiments of this invention will be apparent to those skilled in the art upon consideration of this specification or from practice of the invention disclosed herein. Various omissions, modifications, and changes to the principles and embodiments described herein may be made by one skilled in the art without departing from the true scope and spirit of the invention which is indicated by the following claims.

What is claimed is:

1. A retroreflective article, comprising a substrate, and a coating provided on at least a portion of a surface of the substrate, wherein said coated  
5 portion of said surface is retroreflective and wherein the coating comprises a cured ceramer composite derived from ingredients comprising:

- (a) a free radically curable binder precursor; and
- (b) a plurality of surface treated, colloidal inorganic oxide components, wherein said colloidal inorganic oxide components are surface  
10 treated with a surface treatment agent comprising:
  - (i) a fluoro/silane component comprising a hydrolyzable silane moiety and a fluorinated moiety, and
  - (ii) a crosslinkable silane component comprising a hydrolyzable  
15 silane moiety and a free radically crosslinkable moiety, wherein the weight ratio of the crosslinkable silane component to the fluoro/silane component is from about 4:1 to about 20:1 and the weight ratio of the free radically curable binder precursor to the colloidal inorganic oxide components is from about 1:10 to about 8:10.

20

2. The retroreflective article of claim 1, wherein the substrate is a raised pavement marker comprising a lens element corresponding to said surface portion and mounted to a pavement marker body, and wherein the coating is coated onto at least a portion of the lens element.

25

3. The retroreflective article of claim 1, wherein the substrate comprises signage having a retroreflective surface corresponding to said surface portion and bearing visually observable information, and wherein the coating is applied over at least a portion of the retroreflective surface.

30

4. The retroreflective article of claim 1, wherein the substrate is a license plate having a retroreflective surface corresponding to said surface portion and bearing visually observable information, and wherein the coating is applied over at least a portion of the said retroreflective surface.

5

5. The retroreflective article of claim 1, wherein the substrate comprises a self-adhesive sticker having a retroreflective surface corresponding to said surface portion and bearing visually observable information, and wherein the coating is applied over at least a portion of the said retroreflective surface.

10

6. The retroreflective article of claim 1, wherein the coating helps to complete the retroreflective optics of the retroreflective article.

7. The retroreflective article of claim 1, wherein the coating comprises a surface having a water contact angle of more than 20°.

8. The retroreflective article of claim 1, wherein the coated surface is oxidized such that the surface has a water contact angle of less than 20°.

9. The retroreflective article of claim 1, wherein the coated surface is corona-treated or plasma treated.

10. The retroreflective article of claim 1, wherein the substrate comprises a retroreflective body having a surface corresponding to said surface portion, wherein said retroreflective body comprises a plurality of retroreflective cube-corner elements.

11. The retroreflective article of claim 1, wherein the substrate comprises a retroreflective body having a surface corresponding to said surface portion, wherein said retroreflective body comprises a plurality of encapsulated, retroreflective elements.



12. The retroreflective article of claim 1, wherein the substrate comprises a retroreflective body having a surface corresponding to said surface portion, wherein said retroreflective body comprises a plurality of embedded,  
5 retroreflective elements.

13. The retroreflective article of claim 1, wherein the crosslinkable silane component is represented by the formula:



10

wherein,  $S_y$  represents a hydrolyzable silane moiety;  $R_c$  is a moiety comprising free radically polymerizable functionality;  $q$  is at least 1;  $p$  is at least 1; and  $W^o$  is a linking group having a valency of  $q + p$ .

15

14. The retroreflective article of claim 1, wherein the fluoro/silane component is represented by the formula:



20

wherein  $S_y$  represents a hydrolyzable silane moiety,  $R_f$  represents a fluorinated moiety,  $r$  is at least 1;  $s$  is at least 1; and  $W$  is a linking group having  $r + s$  valent sites.

25

15. The retroreflective article of claim 1, wherein the colloidal inorganic oxide components comprise colloidal silica particles.

16. The retroreflective article of claim 1, wherein the curable binder precursor comprises one or more free radically curable monomers, oligomers, polymers or combinations thereof.

17. The retroreflective article of claim 1, wherein the curable binder precursor comprises one or more (meth)acrylate or (meth)acrylamide monomers.

18. The retroreflective article of claim 17, wherein the curable binder precursor comprises pentaerythritol triacrylate.

19. The retroreflective article of claim 18, wherein the curable binder precursor comprise a combination of pentaerythritol triacrylate and N,N-dimethyl (meth)acrylamide.

20. The retroreflective article of claim 17, wherein the curable binder precursor comprises N,N-dimethyl (meth)acrylamide.

21. A method of coating a retroreflective substrate with a ceramer composite coating, comprising the steps of:

(a) providing an uncured ceramer composition comprising:

(i) a free radically curable binder precursor; and

(ii) a plurality of surface treated, colloidal inorganic oxide components, wherein said colloidal inorganic oxide

components are surface treated with a surface treatment agent comprising:

(1) a fluoro/silane component comprising a hydrolyzable silane moiety and a fluorinated moiety, and

(2) a crosslinkable silane component comprising a hydrolyzable silane moiety and a free radically crosslinkable moiety, wherein the weight ratio of the crosslinkable silane component to the fluoro/silane component is from about 4:1 to about 20:1 and the weight ratio of the free radically curable binder precursor to the colloidal inorganic oxide components is from about 1:10 to about 8:10;

- (b) coating the uncured ceramer composition onto a retroreflective substrate; and
- (c) curing the coated ceramer composition, whereby a cured ceramer composite coating on the substrate is formed.

5

22. The method of 21, wherein the cured ceramer composite coating has a surface having a water contact angle of greater than about 20 and the method further comprises the step of subjecting the surface of the cured ceramer composite coating to a treatment effective to provide said surface with a water contact angle  
10 of less than about 20.

23. The method of 21, further comprising the step of subjecting the cured ceramer composite coating to an oxidative treatment such that the oxidative treated coating has a lower water contact angle as compared to an otherwise  
15 identical, but untreated coating.

24. The method of 21, further comprising the step of subjecting the cured ceramer composite coating to a treatment selected from a corona discharge treatment, a plasma treatment, or combinations thereof, such that the treated  
20 coating has a lower water contact angle as compared to an otherwise identical, but untreated coating.

25. The method of claim 21, wherein step (a) comprises the step of combining a fluoro/silane component with a first admixture comprising a plurality  
25 of colloidal inorganic oxide components and a curable binder precursor, said combining step occurring in the presence of a crosslinkable silane component; wherein the fluoro/silane component comprises a hydrolyzable silane moiety and a fluorinated moiety, the crosslinkable silane component comprises a hydrolyzable silane moiety and a polymerizable moiety other than a silane moiety, and the  
30 curable binder precursor comprises a polymerizable moiety copolymerizable with the polymerizable moiety of the crosslinkable silane component; and said

combining step further occurring under conditions such that at least a portion of the colloidal inorganic oxide components are surface treated by at least one of the fluoro/silane component and the crosslinkable silane component.

5           26.     The method of claim 21, wherein said combining step comprises premixing the fluoro/silane component with the crosslinkable silane component to provide a second admixture, and combining the second admixture with the first admixture to form a third admixture.

10           27.     The method of claim 21, wherein said combining step comprises premixing the crosslinkable silane component with the first admixture to provide a third admixture premix, and combining the third admixture premix with the fluoro/silane component to form a third admixture.

15           28.     The method of claim 21, wherein the combining step further comprises the step of providing a sol of the colloidal inorganic oxide components and combining the sol with the curable binder precursor to form the first admixture.

20           29.     The method of claim 28, wherein the sol comprises from about 2 to about 50 parts by weight of the colloidal inorganic oxide components per 100 parts by weight sol.

            30.     The method of claim 21, wherein the first admixture comprises  
25 from about 10 to about 80 parts by weight of the curable binder precursor per 100 parts by weight of the colloidal inorganic oxide components.

            31.     The method of claim 21, wherein the second admixture comprises  
30 from about 4 to about 20 parts by weight of the crosslinkable silane component per 1 part by weight of the fluoro/silane component.

32. The method of claim 21, wherein the third admixture comprises from about 1 to about 20 parts by weight of the second admixture per 100 parts by weight of the first admixture.

5 33. The method of claim 21, further comprising the step of drying.

34. The method of claim 21, further comprising the step of adding an organic solvent to the dried admixture, such that the resulting admixture includes from about 5% to about 95% solids by weight.

10

35. The method of claim 21, wherein the crosslinkable silane component is represented by the formula:

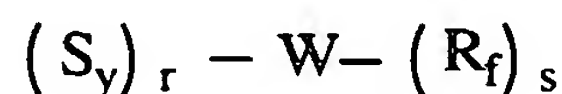


15 wherein,  $S_y$  represents a hydrolyzable silane moiety;  $R_c$  is a moiety comprising free radically polymerizable functionality;  $q$  is at least 1;  $p$  is at least 1; and  $W^o$  is a linking group having a valency of  $q + p$ .

36. The method of claim 35, wherein the crosslinkable silane component is selected from 3-(methacryloxy)propyl trimethoxysilane, 3-acryloxypropyl trimethoxysilane, 3-(methacryloxy)propyltriethoxysilane, 3-(methacryloxy)propylmethyldimethoxysilane, 3-(acryloxypropyl)methyldimethoxysilane, 3-(methacryloxy)propyldimethylethoxysilane, 25 3-(methacryloxy)methyltriethoxysilane, 3-(methacryloxy)methyltrimethoxysilane, 3-(methacryloxy)propyldimethylethoxysilane, 3-methacryloxypropenyl trimethoxysilane, vinyl dimethylethoxysilane, vinylmethyldiacetoxysilane, vinylmethyldiethoxysilane, vinyltriacetoxysilane, vinyltriethoxysilane, vinyltriisopropoxysilane, vinyltrimethoxysilane, vinyltriphenoxysilane, vinyltri-t-butoxysilane, vinyltris-isobutoxysilane, vinyltriisopropenoxysilane, 30 vinyltris(2-methoxyethoxy)silane, or combinations thereof.

37. The method of claim 21, wherein the crosslinkable silane component is selected from 3-(methacryloxy)propyl trimethoxysilane, 3-acryloxypropyl trimethoxysilane, 3-(methacryloxy)propylmethyldimethoxysilane, 3-(methacryloxy)propyldimethylethoxysilane, or combinations thereof.

38. The method of claim 21, wherein the fluoro/silane component is represented by the formula:



wherein  $S_y$  represents a hydrolyzable silane moiety,  $R_f$  represents a fluorinated moiety,  $r$  is at least 1;  $s$  is at least 1; and  $W$  is a linking group having  $r + s$  valent sites.

39. The method of claim 21, wherein the curable binder precursor comprises one or more free radically curable monomers, oligomers, polymers or combinations thereof.

40. The method of claim 39, wherein the curable binder precursor comprises one or more (meth)acrylate or (meth)acrylamide monomers.

41. The method of claim 40, wherein the curable binder precursor comprises pentaerythritol triacrylate.

42. The method of claim 41, wherein the curable binder precursor comprise a combination of pentaerythritol triacrylate and N,N-dimethyl (meth)acrylamide.

43. The method of claim 21, wherein the curable binder precursor comprises N,N-dimethyl (meth)acrylamide.



44. The method of claim 21, wherein the colloidal inorganic oxide components comprise colloidal silica particles.

5 45. The retroreflective article of claim 1, wherein the inorganic oxide components comprise a mixture of two different inorganic oxide components.

## INTERNATIONAL SEARCH REPORT

Intern al Application No

PCT/US 00/22390

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08F292/00 C08F2/44 C09D4/00 E01F9/015 C04B35/622  
G02B1/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08F C09D E01F C04B G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, COMPENDEX

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	US 6 132 861 A (GUSTAFSON CHRISTOPHER J ET AL) 17 October 2000 (2000-10-17) the whole document ---	1-45
P,X	WO 99 57205 A (KANG SOONKUN ;MOORE GEORGE G I (US); 3M INNOVATIVE PROPERTIES COMP) 11 November 1999 (1999-11-11) the whole document ---	1-45
P,X	WO 99 57375 A (GUSTAFSON CHRISTOPHER J ;RAMBOSEK THOMAS W (US); KUMAR KANTA (US);) 11 November 1999 (1999-11-11) claims 13,14 ---	1-45
A	WO 99 02611 A (MINNESOTA MINING & MFG) 21 January 1999 (1999-01-21) the whole document ---	1-45
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☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

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Date of the actual completion of the international search

11 December 2000

Date of mailing of the international search report

18/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Pollio, M

# INTERNATIONAL SEARCH REPORT

Inter: al Application No

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>WO 96 36669 A (MINNESOTA MINING &amp; MFG)  21 November 1996 (1996-11-21)  cited in the application  the whole document  -----</p>	1-45

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Information on patent family members

Inter: International Application No

PCT/US 00/22390

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